

Office of School Board Attorney
Walter J. Harvey, School Board Attorney

SUBJECT: PROPOSED AMENDMENT OF SCHOOL BOARD POLICIES: INITIAL READING: POLICY 9140, CITIZENS' ADVISORY COMMITTEES, POLICY 6840, AUDIT & BUDGET ADVISORY COMMITTEE, POLICY 9142, DIVERSITY EQUITY & EXCELLENCE ADVISORY COMMITTEE

COMMITTEE: SCHOOL SUPPORT AND ACCOUNTABILITY

LINK TO STRATEGIC FRAMEWORK: SCHOOL/DISTRICT LEADERSHIP

Pursuant to Agenda Item H-7 ("PTA/PTSA Appointments to Advisory Committees"), presented by Board Member Carlos L. Curbelo, at the December 14, 2011, Board meeting, the Board authorized rulemaking to amend Board policies to allow the Miami-Dade County Council of PTAs/PTSAs to appoint a member and alternate to School Board Advisory Committees established in Board policy that have a member appointed by each School Board Member and the Superintendent and any other committee as deemed appropriate by the Board. The language approved by the Board restricted the PTA appointments to parents of a child currently attending a District school. On February 9, 2012, the School Support Accountability Committee recommended that the language be revised to allow the PTA/PTSA to appoint any member and an alternate to the designated committees. REVISE

Several of the affected committees such as the Attendance Boundary Committee, Wellness Committee, and the Student Services Advisory Committee already allow the PTA/PTSA to appoint a member. Policy 6460.01, *Business Development and Assistance Program*, which establishes the Minority Business Enterprise Advisory Committee, is in the process of being revised and upon revision will include the PTA/PTSA appointment requirement. In the meantime, that Committee will comply with this provision if approved. This item requests that the Board consider amending School Board Policy 9140, *Citizens' Advisory Committees*, Policy 6840, *Audit & Budget Advisory Committee*, and Policy 9142, *Diversity Equity and Excellence Advisory Committee*, add a requirement to allow the Miami-Dade County Council of PTAs/PTSAs to either (A) appoint a member and alternate who are parents of a student currently attending a district school to certain School Board Advisory Committees, or (B) appoint any of its members and an alternate to certain School Board Advisory Committees. REVISE

In addition, pursuant to Agenda Item H-8 ("Prohibiting Lobbyists from Serving on Advisory Committees"), also presented by Board Member Carlos L. Curbelo at the December 14, 2011, Board Meeting, the Board authorized rulemaking to amend Board policy to prohibit lobbyists from serving on School Board Advisory Committees that may directly impact a client's interest. On February 9, 2012, the School Support Accountability Committee recommended that the language be revised to require lobbyists to resign from serving on advisory committees if they have a continuing and recurring conflict with their private interests and to abstain from voting on or participating in recommendations that could impact a client's interest for which the lobbyist

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was retained. This item requests that the Board consider amending School Board Policy 9140, *Citizens' Advisory Committees*, to either (A) prohibit lobbyists from serving on advisory committees that may directly impact a client's interest, or (B) to prohibit lobbyists from serving on advisory committees if they have a recurring and continuous conflict between their private interests and public duties and to abstain from voting on committee recommendations that directly impact a client's interest for which the lobbyist was retained.

The Item presents for the Board's consideration and approval both alternates:

Option A containing the original language approved by the Board for both provisions; and

Option B reflecting the revised language suggested by the School Support and Accountability Committee for both provisions.

Attached are the Notices of Intended Action and the proposed amendments for each Option. Changes from the current Policies are indicated by underscoring words to be added and ~~striking through words~~ to be deleted.

Authorization of the Board is requested for the Superintendent to initiate rulemaking proceedings pursuant to the Administrative Procedure Act to amend School Board Policy 9140, *Citizens' Advisory Committees*, Policy 6840, *Audit & Budget Advisory Committee*, and Policy 9142, *Diversity Equity and Excellence Advisory Committee*.

RECOMMENDED:

That The School Board of Miami-Dade County, Florida direct the Superintendent to initiate rulemaking proceedings pursuant to the Administrative Procedures Act to amend School Board Policy 9140, *Citizens' Advisory Committees*, Policy 6840, *Audit & Budget Advisory Committee*, and Policy 9142, *Diversity, Equity and Excellence Advisory Committee*, to either:

OPTION A: Original Proposed Language

(1) prohibit lobbyists from serving on advisory committees that directly impact a client's interest for which the lobbyist was retained, and

(2) allow the Miami-Dade County Council of PTAs/PTSAs to appoint a member and alternate

who are parents of students currently attending a district school to certain School Board Advisory Committees.

OR

OPTION B: Suggested Committee Revisions

(1) require lobbyists to resign from advisory committees if they have a recurring and continuous conflict between their private interests and public duties and to abstain from voting on or participating in any committee recommendations that directly impact a client's interest for which the lobbyist was retained, and

(2) allow the Miami-Dade County Council of PTAs/PTSAs to appoint any member and alternate to certain School Board Advisory Committees.

REVISED

Option A

OPTION "A"
ADDED

NOTICE OF INTENDED ACTION

THE SCHOOL BOARD OF MIAMI-DADE COUNTY, FLORIDA, announced on March 7, 2012, its intention to amend Board Policy 9140, *Citizens' Advisory Committees*, Policy 6840, *Audit and Budget Advisory Committee*, and Policy 9142, *Diversity Equity and Excellence Advisory Committee*, at its meeting of April 18, 2012.

PURPOSE AND EFFECT: The purpose of the recommended amendments is to allow the Miami-Dade County Council of PTAs/PTSAs to appoint a member and alternate to certain School Board Advisory Committees and to prohibit lobbyists from serving on any advisory committee that may impact their clients' interests.] REVISSED

SUMMARY: The amendments will allow the Miami-Dade County Council of] REVISSED PTAs/PTSAs to appoint a member and alternate who have a child currently enrolled in Miami-Dade Public Schools to any School Board Advisory Committee that is (1) established by a specific Board policy, and (2) has a member appointed by each Board member and the Superintendent and any other committee deemed appropriate by the Board. In addition, lobbyists would be prohibited from serving on any advisory committee whose recommendations could directly impact any client interest for which the lobbyist was retained.

SPECIFIC LEGAL AUTHORITY UNDER WHICH RULEMAKING IS AUTHORIZED:
1001.41 (1), (2); 1001.42 (25); 1001.43 (10); F.S.

LAWS IMPLEMENTED INTERPRETED OR MADE SPECIFIC: 1001.32(2); 1001.41(2)(5); 1001.43 (10) F.S.

IF REQUESTED, A HEARING WILL BE HELD DURING THE SCHOOL BOARD MEETING OF April 18, 2012, which begins at 1:00 p.m., in the School Board Auditorium, 1450 N.E. Second Avenue, Miami, Florida 33132. Persons requesting such a hearing or who wish to provide information regarding the statement of estimated regulatory costs, or to provide a proposal for a lower cost regulatory alternative as provided in Section 120.54(1), F.S., must do so in writing by April 2, 2012, to the Superintendent, Room 912, at the same address.

ANY PERSON WHO DECIDES TO APPEAL THE DECISION made by The School Board of Miami-Dade County, Florida, with respect to this action will need to ensure the preparation of a verbatim record of the proceedings, including the testimony and evidence upon which the appeal is to be based. (Section 286.0105, Florida Statutes)

A COPY OF THE PROPOSED AMENDED POLICIES are available at cost to the public for inspection and copying in the Citizen Information Center, Room 158, 1450 N.E. Second Avenue, Miami, Florida 33132.

Originator: Mr. Carlos L. Curbelo, Board Member
Date: March 1, 2012

OPTION "A"
ADDED

**THE SCHOOL BOARD OF
MIAMI-DADE COUNTY**

COMMUNITY RELATIONS
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1

CITIZENS' ADVISORY COMMITTEES

2 The School Board may establish citizen advisory committees when there is a definite
3 function to be performed.

4 A. The structure, organization, and appointment of an advisory committee
5 shall be determined as appropriate to the assignment or as specified in the
6 policy creating the committee.

7 B. Advisory committees shall be encouraged to draw upon a wide variety of
8 resources both inside and outside the School District.

9 C. Each committee shall be encouraged to include other local residents and
10 to recommend to the Board the official appointment of any additional
11 member(s) as the committee may desire.

12 D. The Miami-Dade Council of PTAs/PTSAs may appoint a parent member
13 and an alternate who have a child currently enrolled in the Miami-Dade } REVISED
14 Public School system, to each committee that is:

15
16 1. specifically created by Board policy and in which each Board member
17 and the Superintendent appoint a member, and

18 2. any other committee as deemed appropriate by the Board.

19

E. Lobbyists, as defined in Policy 8150, Lobbyists, may not serve on any
advisory committee whose recommendations could directly impact any
client interest for which the lobbyist was retained.

20 **Criteria for School Board Appointees**

21 Advisory committee members appointed by the Board and individual Board
22 members shall meet the following criteria:

23

24 A. Residency

25

26 All appointees shall be permanent Miami-Dade County residents
27 and have reputations for integrity and community service. A
28 member of an advisory committee who ceases to be a Miami-Dade
29 County resident must resign from the committee. The Board may
30 waive the residency requirement for an individual member by a 2/3
31 vote of the membership.

32 B. Conflict of Interest

33

34 All advisory committee members must comply with the Code of
35 Ethics of Public Officers and Employees in F.S. Chapter 112, as it
36 applies to employees, and certify in writing that they will comply

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**THE SCHOOL BOARD OF
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1 with applicable ethical guidelines. In addition, the following
2 requirements shall apply.

3 1. No person shall be appointed if, at the time of appointment,
4 the person has filed a lawsuit against the Board that is
5 pending and/or a challenge to a Board policy. The Board
6 may waive this requirement for an individual member by a
7 2/3 vote of the membership.

8 2. Appointees shall not serve on a committee that regulates or
9 monitors their livelihood. The Board may waive this
10 requirement for an individual member by a 2/3 vote of the
11 Board membership.

12 3. If an appointee qualifies as a candidate for elective political
13 office during the appointed term, the qualification shall be
14 deemed a resignation from the committee.

15 4. No person shall be appointed in violation of State or Federal
16 law, or Board policies.

17 C. Multiple Appointments

18
19 No person shall be appointed by the Board or an individual Board
20 member to serve simultaneously on more than two (2) advisory
21 committees. A person may be appointed to serve simultaneously on
22 more than two (2) committees if appointed by different Board
23 members. After being advised of all other committees to which a
24 person has been appointed, the Board may waive this requirement
25 for an individual appointee by a 2/3 vote of the Board membership.
26 This waiver must be renewed when the appointee's term on any
27 committee expires and s/he is reappointed to the same committee or
28 another one.

29 These requirements apply to Board appointments to all advisory committees unless
30 there are alternate criteria provided in Board policies that establish a specific
31 advisory committee. These requirements, however, do not apply to Board
32 appointments of Board members to District advisory committees.

33 **Expenditures**

34 Expenditure of District funds by an advisory committee shall be made only upon the
35 prior approval of the Superintendent. Supplies, equipment, and personnel from
36 within the School District may be obtained through the appointed staff resource
37 person.

**OPTION "A"
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1 Correspondence between the Board and its committees shall ordinarily be conducted
2 by the Superintendent.

3 The Superintendent should transmit the contents of any communication or report
4 from a committee to the Board, as appropriate.

5 **Board Authority**

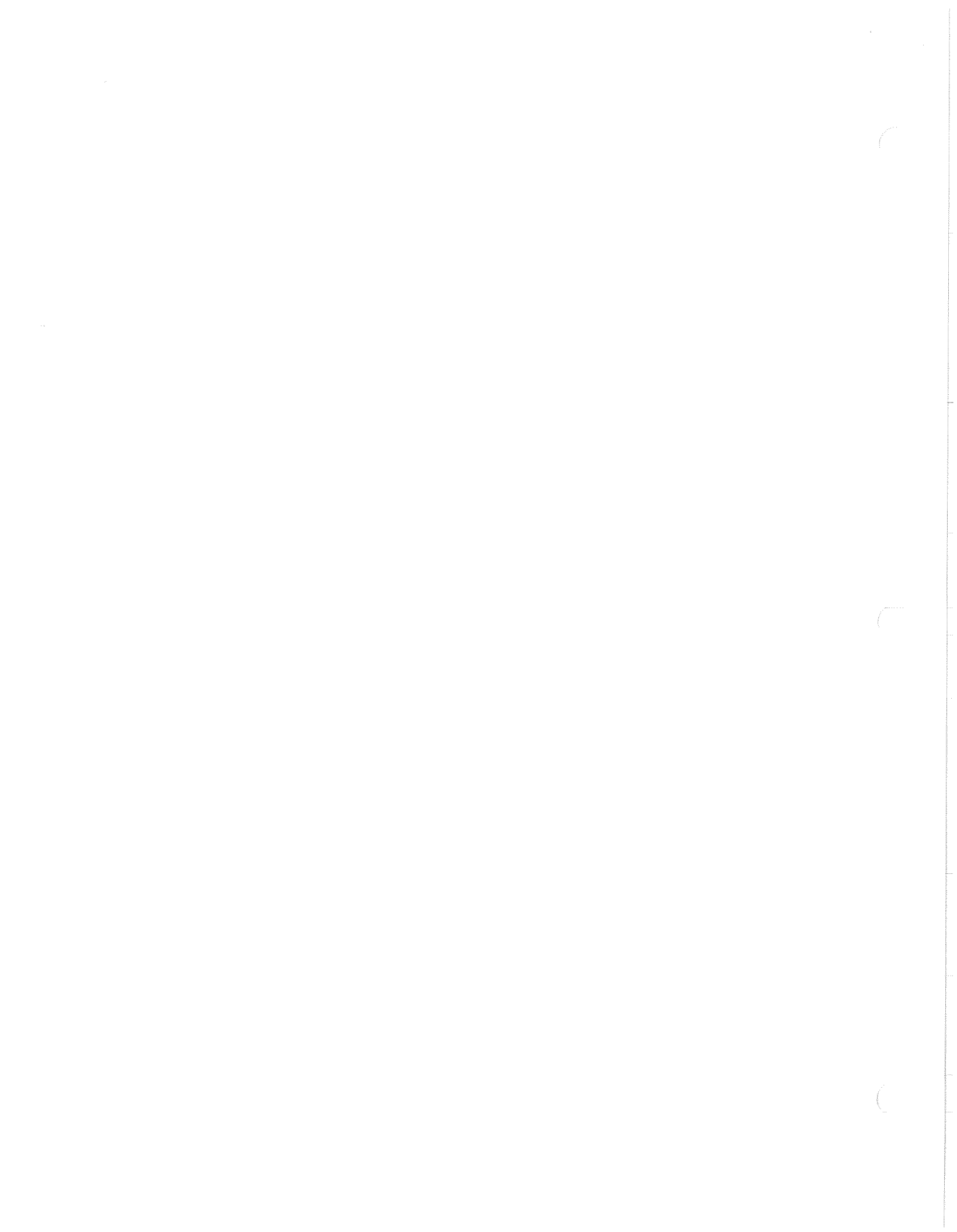
6 The Board shall have the sole power to dissolve any of its advisory committees and
7 shall reserve the right to exercise this power at any time during the life of any
8 committee.

9 Board members shall have the right to attend and may participate in any District or
10 Board advisory committee.

11 F.S. 165.041 (3)(c), 1001.452

12 Revised 10/19/11

13 © NEOLA 2005



OPTION "A"
ADDED

THE SCHOOL BOARD OF
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FINANCES
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1

AUDIT AND BUDGET ADVISORY COMMITTEE

2 The general purpose of the Audit and Budget Advisory Committee is to review and
3 make recommendations to the School Board and Superintendent on fiscal
4 management matters presented to the committee by either the Board or the
5 Superintendent. The Audit and Budget Advisory Committee shall serve as the
6 principal advisory committee to assist the Board in fulfilling its fiduciary
7 responsibilities on budgeting, financial reporting and accounting and business
8 policies and practices and is the Board's principal agent in ensuring the
9 independence of the District's external and internal auditors.

10 The Audit and Budget Advisory Committee's goals are to assist in:

- 11 A. strengthening accountability for the stewardship and efficient and
12 effective use of School District funds;
- 13 B. maintaining transparency of the District's financial and business
14 operations; and
- 15 C. promoting an adequate system of internal control.

16 **Membership**

17 Members of the Audit and Budget Advisory Committee should reflect the Board's
18 commitment to diversity, have a basic understanding of finance and accounting and
19 be able to understand and interpret financial statements and audit reports.
20 Members should have a background in auditing, accounting, finance, business,
21 management consulting, or law.

22 The members of the Audit and Budget Advisory Committee are:

- 23 A. nine (9) voting members from the community not employed by the
24 School District or having any direct or indirect business dealings
25 with the School District;

26
27 The nine (9) Board members will each select one (1) appointee.

- 28 B. one (1) voting Board member appointed by the Chair of the Board;

29
30 The Board member appointed to the Audit and Budget Advisory
31 Committee shall vote on all matters that come before the Audit and
32 Budget Advisory Committee.

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- 1 C. one (1) voting member from the community to be appointed by the
2 Superintendent;
3
- 4 D. one (1) voting member and alternate appointed by the Miami-Dade
5 County Council of PTAs/PTSAs and are parents of a child currently } REVISED
6 enrolled in the Miami-Dade County Public School System.
- 7 ~~D.E.~~ one (1) non-voting member from the District to be appointed by the
8 Superintendent;
- 9 ~~E.F.~~ the Chief Auditor shall serve as the District's liaison and provide
10 administrative and logistical support to the Audit and Budget
11 Advisory Committee.

12 The Audit and Budget Advisory Committee shall elect a chair and vice-chair from its
13 community members. An Audit and Budget Advisory Committee member may not
14 serve more than four (4) consecutive years as either chair or vice-chair.

15 **Term of Service**

16 The term of service is two (2) fiscal years. The terms of community members
17 nominated by Board members shall be consistent with the terms of their respective
18 nominating Board members. Newly appointed Board members shall have the
19 discretion to retain the former Board member's appointee or to nominate a new
20 committee member. A member's term may be extended beyond the two (2) year term
21 by nomination by any Board member. Community members may be removed
22 should they miss three (3) consecutive regular meetings without good cause upon a
23 majority vote of the audit committee.

24 **Meetings**

25 The Audit and Budget Advisory Committee will meet on a regular basis throughout
26 the fiscal year with the first meeting to be scheduled in early September and the last
27 meeting in late June. The committee will meet at least six (6) times during the fiscal
28 year, subject to any unforeseen circumstances such as natural disasters,
29 emergencies, etc.

30 When deemed necessary and appropriate, special meetings of the Audit and Budget
31 Advisory Committee may be called by the Chief Auditor or by the chair of the Audit
32 and Budget Advisory Committee. Such special meetings shall require at least
33 two (2) days prior public notice.

34 A majority of the voting members shall constitute a quorum for the committee in
35 order to conduct business.

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1 All committee and subcommittee meetings are governed by the Florida's Government
2 in the Sunshine and Public Records Laws, F.S. Chapter 119 and 286.011. Meetings
3 will be held in open public sessions and all materials made or received by the Audit
4 and Budget Advisory Committee in connection with official business are available for
5 public inspection.

6 The Office of Management and Compliance Audits is responsible for providing
7 administrative support to the committee, including publishing meeting notices,
8 keeping its minutes, establishing the agenda in collaboration with the chair of the
9 Audit and Budget Advisory Committee, and gathering the supporting documentation
10 for distribution, and ensuring the smooth operation of the committee affairs.

11 **Authority**

12 The Audit and Budget Advisory Committee is advisory in nature. Its
13 recommendations regarding audit findings and exceptions, and other items will be
14 provided in writing to the Board and Superintendent. In its advisory role, the Audit
15 and Budget Advisory Committee is subject to the control and direction of the Board.

16 **Duties and Responsibilities**

17 The duties and responsibilities of the Audit and Budget Advisory Committee are:

18 A. with regard to the external auditor:

19 1. serve as the committee to select and recommend the hiring of
20 the external auditing firm to conduct the District's annual
21 audit according to Florida statutes and assist in the
22 development of the external audit contract;

23 2. oversee the work and evaluate the qualifications,
24 performance, and independence of the external auditor and
25 recommend the termination or replacement of the external
26 auditor;

27 3. review with the external auditor their annual audit plan;

28 4. review the Consolidated Annual Financial Report (CAFR),
29 Management Letter, and Single Audit Report with the external
30 auditor and District staff and make recommendations as
31 appropriate;

32 5. the Chief Auditor will provide administrative support to the
33 committee for all external audit contracts.

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- 1 B. with regard to the District's budgeting and financial management:
- 2 1. with the Chief Financial Officer, review proposed annual
3 budgets, revenues, and expense forecasts and forecast
4 assumptions, and budget amendments;
- 5 2. receive periodic progress reports from the Chief Financial
6 Officer regarding the District administration's collection and
7 disbursement of financial resources pursuant to the District's
8 budget;
- 9 3. receive periodic reports from and consult with the Chief
10 Financial Officer on the adequacy of the District's budget and
11 tracking system;
- 12 4. make recommendations to the Superintendent and Board to
13 improve the quality and reliability of budgeting and
14 accounting for the results of financial operations and not
15 opine on the policy and programmatic implications of budget
16 proposals and amendments.
- 17 C. with regard to the Chief Auditor and the Office of Management and
18 Compliance Audits:
- 19 1. review the annual budget of the Office of Management and
20 Compliance Audits and make recommendations to the Board
21 and the Superintendent as to the sufficiency of the budget;
22
23 The budget shall make adequate provisions for external
24 auditing fees, staffing of the office, required continued
25 professional education/staff development, equipment, and
26 supplies. The committee should review the costs of the use of
27 outside professional services deemed necessary by the Chief
28 Auditor and make recommendations to the Board.
- 29 2. review, provide feedback and approve the annual Audit Plan
30 presented by the Chief Auditor;
- 31
32 Receive periodic updates on the progress in completing the
33 Audit Plan and confer with the Chief Auditor on any
34 significant changes in the required planned scope of the
35 annual Audit Plan.

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- 1 3. review the operations and projects against the Audit Plan to
2 determine the progress made in the execution of the Audit
3 Plan;
- 4 4. review internal audit reports with the Chief Auditor and
5 District administration and make recommendations regarding
6 audit findings, recommendations, and management
7 responses;
- 8 5. serve as the committee, in consultation with the
9 Superintendent, to make recommendations to the Board on
10 the person to fill the position;
- 11
12 At the request of the Board, the committee will provide
13 recommendations on the contractual provisions for the
14 position of Chief Auditor. Prior to negotiation of the
15 employment contract, the Board shall conduct a workshop to
16 provide guidance to the Board's designated negotiator.
- 17 6. any recommendation for the removal or transfer of the Chief
18 Auditor not made by the Audit Committee and the reasons for
19 such removal or transfer shall be brought before the
20 committee to obtain its recommendation to the Board;
- 21 7. review the Chief Auditor's performance and provide input to
22 the Superintendent and Board in connection with
23 performance evaluations of the Chief Auditor. Written
24 evaluations will be kept on file.
- 25 D. review and make recommendations on the audited financial
26 statements of the District's charter schools, community-based
27 organizations, direct support organizations and audits of impact
28 fees, and any other relevant external audits brought before the
29 committee;
- 30 E. review and make recommendations on District-related audit reports
31 prepared by Federal and State auditors;
- 32 F. provide an effective communication link between the external and
33 internal auditors, the Board, and the Superintendent to accomplish
34 the following objectives:
- 35 1. completeness of audit coverage;

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ADDED

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- 1 2. reduction of redundant efforts; and
- 2 3. effective use of audit resources.
- 3 G. receive and comment on periodic updates of selected audit follow-up
4 regarding corrective action(s) being taken by the administration to
5 implement audit recommendations;
- 6 H. submit periodic reports, at least annually, on the work of the Audit
7 and Budget Advisory Committee, to the Board and the
8 Superintendent;
- 9 I. review and make recommendations on any amendments to
10 Policy 6835, Policy 6840, and Policy 0157;
- 11
- 12 At the request of the Board, provide input to the Board with respect
13 to the selection of the Inspector General, and with regard to the
14 operation of the Inspector General as more specifically outlined in
15 Policy 0157.
- 16 J. receive and review reports from the Inspector General and receive,
17 review, and monitor corrective action plans and responses
18 submitted pursuant to Inspector General reports or
19 recommendations.

20 F.S. 119.07(3)(y), 286.011, 1001.32, 1001.41(1)(2), 1012.31

21 Revised 10/19/11

22 © MIAMI-DADE, 2010

OPTION "A"
ADDED

THE SCHOOL BOARD OF
MIAMI-DADE COUNTY

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1 DIVERSITY EQUITY AND EXCELLENCE ADVISORY COMMITTEE

2 The purpose of the Diversity, Equity and Excellence Advisory Committee ("DEEAC")
3 is to review issues and make recommendations to the School Board and
4 Superintendent with the goal of eliminating disparities and educational barriers and
5 continue progressing toward racial parity and diversity. The core purpose and
6 objective of the DEEAC is to assist the District with creating and maintaining a
7 multicultural school system.

8 Pursuant to the United States District Court order, issued by Judge William
9 Dimitrouleas issued on June 21, 2001, and modified on August 16, 2001, which
10 declared the District unitary, the Diversity Equity and Excellence Advisory
11 Committee was established.

12 Nationally known experts consulted by the Board during the desegregation process
13 concluded that disparities remained in Black, White, and Hispanic student
14 participation in the areas of special education, advanced academics, and in the
15 frequency these students encountered educational barriers such as suspension,
16 expulsion and retention.

17 **Responsibilities**

18 A. The DEEAC's responsibilities include reviewing and making
19 recommendations regarding the:

- 20 1. implementation of Board rules which relate to the District's
21 unitary status and diversity;
- 22 2. student enrollment;
- 23 3. attendance boundary zones;
- 24 4. regional administrative centers;
- 25 5. recruitment and diversity of personnel;
- 26 6. transportation of students;
- 27 7. selection of school sites;

OPTION "A"
ADDED

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- 1 8. equitable distribution of educational resources and services;
- 2 9. annual District diversity compliance reports; and
- 3 10. other matters as may be assigned by Board and/or the
4 Superintendent.
- 5 B. DEEAC reviews and recommendations shall be reported on an
6 annual basis to the Board by November 30th of each school year.
7 This annual report shall assess the implementation of Board policies
8 to ensure that the District maintains its commitment to provide a
9 high-quality education to all students --a commitment that was
10 made to the Court and the community upon receiving unitary
11 status.
- 12 C. DEEAC members shall also:
- 13 1. facilitate and/or attend public meetings and Board
14 conference sessions and meetings related to DEEAC's
15 purpose; and
- 16 2. serve on other District advisory committees to represent
17 DEEAC's purpose.

18 **Membership**

- 19 A. Membership shall be comprised of eleven members, one to be
20 appointed by each Board member and two (2) to be appointed by the
21 Superintendent.
- 22 B. The term of service for each member shall be four (4) years and shall
23 run concurrently with the term of service of the respective Board
24 member or Superintendent. If a vacancy occurs, the respective
25 Board member or Superintendent shall appoint or reappoint a
26 member to the committee.

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ADDED**

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- 1 C. Newly elected or appointed Board members and/or Superintendent
2 shall have the discretion to reappoint the former Board member's or
3 Superintendent's appointee or to appoint a new member. An
4 incumbent member may remain on the DEEAC until a new member
5 is appointed.
6
7 D. The Miami-Dade Council of PTAs/PTSAs may appoint a parent
8 member and an alternate who have a child currently enrolled in the } REVIS
9 Miami-Dade Public School System.
10
11
12 E. The DEEAC shall elect a chair and vice-chair. The term of office is
13 two (2) years.

14 **Meetings**

- 15 A. The DEEAC shall meet at least six (6) times throughout the school
16 year and shall meet all of the requirements of the Sunshine and
17 Public Records laws, F.S. Chapter 119 and 286.011.
18
19 B. Meeting dates and times shall be determined by the DEEAC at the
first meeting of every school year.
20
21 C. Six (6) members shall constitute a quorum for the DEEAC to
conduct business.
22
23 D. The DEEAC shall conduct its meetings pursuant to Robert's Rules of
Parliamentary Procedure.
24
25 E. District staff, as assigned by the Superintendent, shall provide
26 administrative support to the DEEAC, including arranging and
27 scheduling meetings per DEEAC direction, publishing meeting
28 notices, establishing the agenda in collaboration with the chair,
29 keeping the minutes, and gathering relevant documentation for
distribution to members.

30 F.S. 1001.41(1)(2), 1001.42(26), 1001.43(10)
31 *Pate v. The School Board of Miami-Dade County, Florida*, Case No. 69-1020-
32 CIV-Dimitrouleas, Order of June 21, 2001 as modified by order of August 16,
33 2001.

Option B

1-1000

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OPTION "B"

NOTICE OF INTENDED ACTION

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PURPOSE AND EFFECT: The purpose of the recommended amendments is to allow the Miami-Dade County Council of PTAs/PTSAs to appoint a member and alternate to certain School Board Advisory Committees and to require lobbyists serving on advisory committees to abstain from voting on recommendations that directly impact a client's interest for which the lobbyist was retained and that registered lobbyists resign when there is a recurring conflict. REVISD

SUMMARY: The amendments will allow the Miami-Dade County Council of PTAs/PTSAs to appoint a member and alternate to any School Board Advisory Committee that is (1) established by a specific Board policy, and (2) has a member appointed by each Board member and the Superintendent and (3) any other committee deemed appropriate by the Board. In addition, lobbyists serving on advisory committees would be required to abstain from voting on committee recommendations that directly impact a client's interest for which the lobbyist was retained and must resign in the event of a recurring conflict. REVISD

SPECIFIC LEGAL AUTHORITY UNDER WHICH RULEMAKING IS AUTHORIZED:
1001.41 (1), (2); 1001.42 (25); 1001.43 (10); F.S.

LAWS IMPLEMENTED INTERPRETED OR MADE SPECIFIC: 1001.32(2);
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ANY PERSON WHO DECIDES TO APPEAL THE DECISION made by The School Board of Miami-Dade County, Florida, with respect to this action will need to ensure the preparation of a verbatim record of the proceedings, including the testimony and evidence upon which the appeal is to be based. (Section 286.0105, Florida Statutes)

A COPY OF THE PROPOSED AMENDED POLICIES are available at cost to the public for inspection and copying in the Citizen Information Center, Room 158, 1450 N.E. Second Avenue, Miami, Florida 33132.

Originator: Mr. Carlos L. Curbelo, Board Member
Date: February 22, 2012

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OPTION "B"

THE SCHOOL BOARD OF
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1

AUDIT AND BUDGET ADVISORY COMMITTEE

2 The general purpose of the Audit and Budget Advisory Committee is to review and
3 make recommendations to the School Board and Superintendent on fiscal
4 management matters presented to the committee by either the Board or the
5 Superintendent. The Audit and Budget Advisory Committee shall serve as the
6 principal advisory committee to assist the Board in fulfilling its fiduciary
7 responsibilities on budgeting, financial reporting and accounting and business
8 policies and practices and is the Board's principal agent in ensuring the
9 independence of the District's external and internal auditors.

10 The Audit and Budget Advisory Committee's goals are to assist in:

- 11 A. strengthening accountability for the stewardship and efficient and
12 effective use of School District funds;
- 13 B. maintaining transparency of the District's financial and business
14 operations; and
- 15 C. promoting an adequate system of internal control.

16 **Membership**

17 Members of the Audit and Budget Advisory Committee should reflect the Board's
18 commitment to diversity, have a basic understanding of finance and accounting and
19 be able to understand and interpret financial statements and audit reports.
20 Members should have a background in auditing, accounting, finance, business,
21 management consulting, or law.

22 The members of the Audit and Budget Advisory Committee are:

- 23
24 A. ~~nine (9)~~ Eleven (11) voting members from the community not
25 employed by the School District or having any direct or indirect
26 business dealings with the School District;

27
28 The nine (9) Board members will each select one (1) voting
29 appointee.

30
31 One (1) voting member appointed by the Superintendent;

32
33 One (1) voting member and alternate appointed by the Miami-Dade } REVIS
34 County Council of PTAs/PTSAs.

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1 B. eOne (1) voting Board member appointed by the Chair of the Board;

2
3 The Board member appointed to the Audit and Budget Advisory
4 Committee shall vote on all matters that come before the Audit and
5 Budget Advisory Committee.
6

7 ~~C. one (1) voting member from the community to be appointed by the~~
8 ~~Superintendent;~~
9

10 DC. eOne (1) non-voting member from the District to be appointed by the
11 Superintendent;
12

13 ED. †The Chief Auditor shall serve as the District's liaison and provide
14 administrative and logistical support to the Audit and Budget
15 Advisory Committee.

16 The Audit and Budget Advisory Committee shall elect a chair and vice-chair from its
17 community members. An Audit and Budget Advisory Committee member may not
18 serve more than four (4) consecutive years as either chair or vice-chair.

19 **Term of Service**

20 The term of service is two (2) fiscal years. The terms of community members
21 nominated by Board members shall be consistent with the terms of their respective
22 nominating Board members. Newly appointed Board members shall have the
23 discretion to retain the former Board member's appointee or to nominate a new
24 committee member. A member's term may be extended beyond the two (2) year term
25 by nomination by any Board member. Community members may be removed
26 should they miss three (3) consecutive regular meetings without good cause upon a
27 majority vote of the audit committee.

28 **Meetings**

29 The Audit and Budget Advisory Committee will meet on a regular basis throughout
30 the fiscal year with the first meeting to be scheduled in early September and the last
31 meeting in late June. The committee will meet at least six (6) times during the fiscal
32 year, subject to any unforeseen circumstances such as natural disasters,
33 emergencies, etc.

34 When deemed necessary and appropriate, special meetings of the Audit and Budget
35 Advisory Committee may be called by the Chief Auditor or by the chair of the Audit
36 and Budget Advisory Committee. Such special meetings shall require at least
37 two (2) days prior public notice.

38 A majority of the voting members shall constitute a quorum for the committee in
39 order to conduct business.

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1 All committee and subcommittee meetings are governed by the Florida's Government
2 in the Sunshine and Public Records Laws, F.S. Chapter 119 and 286.011. Meetings
3 will be held in open public sessions and all materials made or received by the Audit
4 and Budget Advisory Committee in connection with official business are available for
5 public inspection.

6 The Office of Management and Compliance Audits is responsible for providing
7 administrative support to the committee, including publishing meeting notices,
8 keeping its minutes, establishing the agenda in collaboration with the chair of the
9 Audit and Budget Advisory Committee, and gathering the supporting documentation
10 for distribution, and ensuring the smooth operation of the committee affairs.

11 **Authority**

12 The Audit and Budget Advisory Committee is advisory in nature. Its
13 recommendations regarding audit findings and exceptions, and other items will be
14 provided in writing to the Board and Superintendent. In its advisory role, the Audit
15 and Budget Advisory Committee is subject to the control and direction of the Board.

16 **Duties and Responsibilities**

17 The duties and responsibilities of the Audit and Budget Advisory Committee are:

18 A. with regard to the external auditor:

- 19 1. serve as the committee to select and recommend the hiring of
20 the external auditing firm to conduct the District's annual
21 audit according to Florida statutes and assist in the
22 development of the external audit contract;
- 23 2. oversee the work and evaluate the qualifications,
24 performance, and independence of the external auditor and
25 recommend the termination or replacement of the external
26 auditor;
- 27 3. review with the external auditor their annual audit plan;
- 28 4. review the Consolidated Annual Financial Report (CAFR),
29 Management Letter, and Single Audit Report with the external
30 auditor and District staff and make recommendations as
31 appropriate;
- 32 5. the Chief Auditor will provide administrative support to the
33 committee for all external audit contracts.

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- 1 B. with regard to the District's budgeting and financial management:
- 2 1. with the Chief Financial Officer, review proposed annual
3 budgets, revenues, and expense forecasts and forecast
4 assumptions, and budget amendments;
- 5 2. receive periodic progress reports from the Chief Financial
6 Officer regarding the District administration's collection and
7 disbursement of financial resources pursuant to the District's
8 budget;
- 9 3. receive periodic reports from and consult with the Chief
10 Financial Officer on the adequacy of the District's budget and
11 tracking system;
- 12 4. make recommendations to the Superintendent and Board to
13 improve the quality and reliability of budgeting and
14 accounting for the results of financial operations and not
15 opine on the policy and programmatic implications of budget
16 proposals and amendments.
- 17 C. with regard to the Chief Auditor and the Office of Management and
18 Compliance Audits:
- 19 1. review the annual budget of the Office of Management and
20 Compliance Audits and make recommendations to the Board
21 and the Superintendent as to the sufficiency of the budget;
22
23 The budget shall make adequate provisions for external
24 auditing fees, staffing of the office, required continued
25 professional education/staff development, equipment, and
26 supplies. The committee should review the costs of the use of
27 outside professional services deemed necessary by the Chief
28 Auditor and make recommendations to the Board.
- 29 2. review, provide feedback and approve the annual Audit Plan
30 presented by the Chief Auditor;
- 31
32 Receive periodic updates on the progress in completing the
33 Audit Plan and confer with the Chief Auditor on any
34 significant changes in the required planned scope of the
35 annual Audit Plan.

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- 1 3. review the operations and projects against the Audit Plan to
2 determine the progress made in the execution of the Audit
3 Plan;
- 4 4. review internal audit reports with the Chief Auditor and
5 District administration and make recommendations regarding
6 audit findings, recommendations, and management
7 responses;
- 8 5. serve as the committee, in consultation with the
9 Superintendent, to make recommendations to the Board on
10 the person to fill the position;
- 11 At the request of the Board, the committee will provide
12 recommendations on the contractual provisions for the
13 position of Chief Auditor. Prior to negotiation of the
14 employment contract, the Board shall conduct a workshop to
15 provide guidance to the Board's designated negotiator.
16
- 17 6. any recommendation for the removal or transfer of the Chief
18 Auditor not made by the Audit Committee and the reasons for
19 such removal or transfer shall be brought before the
20 committee to obtain its recommendation to the Board;
- 21 7. review the Chief Auditor's performance and provide input to
22 the Superintendent and Board in connection with
23 performance evaluations of the Chief Auditor. Written
24 evaluations will be kept on file.
- 25 D. review and make recommendations on the audited financial
26 statements of the District's charter schools, community-based
27 organizations, direct support organizations and audits of impact
28 fees, and any other relevant external audits brought before the
29 committee;
- 30 E. review and make recommendations on District-related audit reports
31 prepared by Federal and State auditors;
- 32 F. provide an effective communication link between the external and
33 internal auditors, the Board, and the Superintendent to accomplish
34 the following objectives:
- 35 1. completeness of audit coverage;

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- 1 2. reduction of redundant efforts; and
- 2 3. effective use of audit resources.
- 3 G. receive and comment on periodic updates of selected audit follow-up
4 regarding corrective action(s) being taken by the administration to
5 implement audit recommendations;
- 6 H. submit periodic reports, at least annually, on the work of the Audit
7 and Budget Advisory Committee, to the Board and the
8 Superintendent;
- 9 I. review and make recommendations on any amendments to
10 Policy 6835, Policy 6840, and Policy 0157;
- 11 At the request of the Board, provide input to the Board with respect
12 to the selection of the Inspector General, and with regard to the
13 operation of the Inspector General as more specifically outlined in
14 Policy 0157.
- 15
- 16 J. receive and review reports from the Inspector General and receive,
17 review, and monitor corrective action plans and responses
18 submitted pursuant to Inspector General reports or
19 recommendations.

20 F.S. 119.07(3)(y), 286.011, 1001.32, 1001.41(1)(2), 1012.31

21 Revised 10/19/11

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1

CITIZENS' ADVISORY COMMITTEES

2 The School Board may establish citizen advisory committees when there is a definite
3 function to be performed.

4 A. The structure, organization, and appointment of an advisory committee
5 shall be determined as appropriate to the assignment or as specified in the
6 policy creating the committee.

7 B. Advisory committees shall be encouraged to draw upon a wide variety of
8 resources both inside and outside the School District.

9 C. Each committee shall be encouraged to include other local residents and
10 to recommend to the Board the official appointment of any additional
11 member(s) as the committee may desire.

12 D. The Miami-Dade Council of PTAs/PTSAs may appoint a member and an] REVISED
13 alternate to each committee that is:

- 14
- 15 1. specifically created by Board policy and in which each Board member
16 and the Superintendent appoint a member, and
 - 17 2. any other committee as deemed appropriate by the Board.
- 18

E. As required by Chapter 112, Florida Statutes, a registered lobbyist, as
defined in Policy 8150, Lobbyists, serving on an advisory committee and
who holds any employment or contractual relationship that will create a
continuing or frequently recurring conflict between his or her private
interests and the performance of his or her public duties, must
immediately resign from the committee. In addition, a registered lobbyist
must abstain from voting or participating on committee recommendations
that directly impact a client's interest for which the lobbyist was retained.

19 **Criteria for School Board Appointees**

20 Advisory committee members appointed by the Board and individual Board
21 members shall meet the following criteria:
22

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1 A. Residency
2

3 All appointees shall be permanent Miami-Dade County residents
4 and have reputations for integrity and community service. A
5 member of an advisory committee who ceases to be a Miami-Dade
6 County resident must resign from the committee. The Board may
7 waive the residency requirement for an individual member by a 2/3
8 vote of the membership.

9 B. Conflict of Interest
10

11 All advisory committee members must comply with the Code of
12 Ethics of Public Officers and Employees in F.S. Chapter 112, as it
13 applies to employees, and certify in writing that they will comply
14 with applicable ethical guidelines. In addition, the following
15 requirements shall apply.

16 1. No person shall be appointed if, at the time of appointment,
17 the person has filed a lawsuit against the Board that is
18 pending and/or a challenge to a Board policy. The Board
19 may waive this requirement for an individual member by a
20 2/3 vote of the membership.

21 2. Appointees shall not serve on a committee that regulates or
22 monitors their livelihood. The Board may waive this
23 requirement for an individual member by a 2/3 vote of the
24 Board membership.

25 3. If an appointee qualifies as a candidate for elective political
26 office during the appointed term, the qualification shall be
27 deemed a resignation from the committee.

28 4. No person shall be appointed in violation of State or Federal
29 law, or Board policies.

30 C. Multiple Appointments
31

32 No person shall be appointed by the Board or an individual Board
33 member to serve simultaneously on more than two (2) advisory
34 committees. A person may be appointed to serve simultaneously on
35 more than two (2) committees if appointed by different Board
36 members. After being advised of all other committees to which a
37 person has been appointed, the Board may waive this requirement
38 for an individual appointee by a 2/3 vote of the Board membership.
39 This waiver must be renewed when the appointee's term on any

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1 committee expires and s/he is reappointed to the same committee or
2 another one.

3 These requirements apply to Board appointments to all advisory committees unless
4 there are alternate criteria provided in Board policies that establish a specific
5 advisory committee. These requirements, however, do not apply to Board
6 appointments of Board members to District advisory committees.

7 **Expenditures**

8 Expenditure of District funds by an advisory committee shall be made only upon the
9 prior approval of the Superintendent. Supplies, equipment, and personnel from
10 within the School District may be obtained through the appointed staff resource
11 person.

12 Correspondence between the Board and its committees shall ordinarily be conducted
13 by the Superintendent.

14 The Superintendent should transmit the contents of any communication or report
15 from a committee to the Board, as appropriate.

16 **Board Authority**

17 The Board shall have the sole power to dissolve any of its advisory committees and
18 shall reserve the right to exercise this power at any time during the life of any
19 committee.

20 Board members shall have the right to attend and may participate in any District or
21 Board advisory committee.

22 ~~F.S. 165.041 (3)(c), 1001.452~~ F.S. 1001.41(1), (2), (5); 1001.42(25); 1001.43(10)

REVIS

23

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DIVERSITY EQUITY AND EXCELLENCE ADVISORY COMMITTEE

The purpose of the Diversity, Equity and Excellence Advisory Committee ("DEEAC") is to review issues and make recommendations to the School Board and Superintendent with the goal of eliminating disparities and educational barriers and continue progressing toward racial parity and diversity. The core purpose and objective of the DEEAC is to assist the District with creating and maintaining a multicultural school system.

Pursuant to the United States District Court order, issued by Judge William Dimitrouleas issued on June 21, 2001, and modified on August 16, 2001, which declared the District unitary, the Diversity Equity and Excellence Advisory Committee was established.

Nationally known experts consulted by the Board during the desegregation process concluded that disparities remained in Black, White, and Hispanic student participation in the areas of special education, advanced academics, and in the frequency these students encountered educational barriers such as suspension, expulsion and retention.

Responsibilities

A. The DEEAC's responsibilities include reviewing and making recommendations regarding the:

1. implementation of Board rules which relate to the District's unitary status and diversity;
2. student enrollment;
3. attendance boundary zones;
4. regional administrative centers;
5. recruitment and diversity of personnel;
6. transportation of students;
7. selection of school sites;

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- 1 8. equitable distribution of educational resources and services;
- 2 9. annual District diversity compliance reports; and
- 3 10. other matters as may be assigned by Board and/or the
4 Superintendent.
- 5 B. DEEAC reviews and recommendations shall be reported on an
6 annual basis to the Board by November 30th of each school year.
7 This annual report shall assess the implementation of Board policies
8 to ensure that the District maintains its commitment to provide a
9 high-quality education to all students --a commitment that was
10 made to the Court and the community upon receiving unitary
11 status.
- 12 C. DEEAC members shall also:
- 13 1. facilitate and/or attend public meetings and Board
14 conference sessions and meetings related to DEEAC's
15 purpose; and
- 16 2. serve on other District advisory committees to represent
17 DEEAC's purpose.

18 **Membership**

- 19 A. Membership shall be comprised of eleven members, one to be
20 appointed by each Board member and two (2) to be appointed by the
21 Superintendent.
- 22 B. The term of service for each member shall be four (4) years and shall
23 run concurrently with the term of service of the respective Board
24 member or Superintendent. If a vacancy occurs, the respective
25 Board member or Superintendent shall appoint or reappoint a
26 member to the committee.

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1 C. Newly elected or appointed Board members and/or Superintendent
2 shall have the discretion to reappoint the former Board member's or
3 Superintendent's appointee or to appoint a new member. An
4 incumbent member may remain on the DEEAC until a new member
5 is appointed.
6

7 D. The Miami-Dade County of PTAs/PTSAs may appoint a member and] REVISED
8 an alternate.
9

10
11 E. The DEEAC shall elect a chair and vice-chair. The term of office is
12 two (2) years.

13 **Meetings**

14 A. The DEEAC shall meet at least six (6) times throughout the school
15 year and shall meet all of the requirements of the Sunshine and
16 Public Records laws, F.S. Chapter 119 and 286.011.

17 B. Meeting dates and times shall be determined by the DEEAC at the
18 first meeting of every school year.

19 C. Six (6) members shall constitute a quorum for the DEEAC to
20 conduct business.

21 D. The DEEAC shall conduct its meetings pursuant to Robert's Rules of
22 Parliamentary Procedure.

23 E. District staff, as assigned by the Superintendent, shall provide
24 administrative support to the DEEAC, including arranging and
25 scheduling meetings per DEEAC direction, publishing meeting
26 notices, establishing the agenda in collaboration with the chair,
27 keeping the minutes, and gathering relevant documentation for
28 distribution to members.

29 F.S. 1001.41(1)(2), 1001.42(26), 1001.43(10)
30 *Pate v. The School Board of Miami-Dade County, Florida*, Case No. 69-1020-
31 CIV-Dimitrouleas, Order of June 21, 2001 as modified by order of August 16,
32 2001.

