Office of School Board Attorney Walter J. Harvey, School Board Attorney

SUBJECT: <u>INITIAL</u> READING: PROPOSED AMENDMENTS TO BOARD

POLICIES 1129, 3129, AND 4129, CONFLICT OF INTEREST; 1210, 3210, AND 4210, STANDARDS OF ETHICAL CONDUCT; 1362, 3362, AND 4362, ANTI-DISCRIMINATION/HARASSMENT; 1362.02, 3362.02, AND 4362.02, ANTI-DISCRIMINATION/HARASSMENT

COMPLAINT PROCEDURE

COMMITTEE: INNOVATION, GOVERNMENTAL RELATIONS AND

COMMUNITY ENGAGEMENT

LINK TO STRATEGIC

BLUEPRINT: HIGHLY EFFECTIVE TEACHERS, LEADERS AND STAFF

In accordance with the Board's statutory responsibility to update, correct and revise its policies, these policy amendments are presented to clarify and include statutory requirements and current Board practices.

Amendments to Board Policies 1129, 3129, and 4129, *Conflict of Interest*, are proposed to be amended to prohibit employees from participating in the selection, award, or administration of a contract if the employee, a member of the employee's immediate family, the employee's partner or an organization which employs or is about to employ any of these parties, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract.

Policies 1210, 3210 and 4210, *Standards of Ethical Conduct*, are proposed to clarify the statutory ethics requirement that employees are expressly prohibited from soliciting or accepting anything of value, including a gift, loan, reward, promise of future employment, favor or service based upon an understanding that the vote, official action or judgment of the employee would be influenced.

Amendments to Policies 1362, 3362, and 4362, *Anti-Discrimination/Harassment*, are proposed to be amended to clarify employment eligibility verification processes as part

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of a settlement agreement with the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Board Policies 1362.02, 3362.02, and 4362.02, *Anti-Discrimination/Harassment Complaint Procedures*, are proposed to be amended to adjust the timelines involved in the investigation of discrimination and harassment by the Civil Rights Compliance (CRC) Office and to include the complainant's right to request review of the CRC's final report by the Superintendent, which reflects the Board's current practice.

Attached is the Notice of Intended Action and the proposed policy amendments. Changes are indicated by <u>underscoring</u> words to be added and <u>striking through</u> words to be deleted.

Authorization of the Board is requested for the Superintendent to initiate rulemaking proceedings in accordance with the Administrative Procedure Act to amend Policies 1129, 3129, and 4129, Conflict of Interest; 1210, 3210 and 4210, Standards of Ethical Conduct; 1362, 3362, and 4362, Anti-Discrimination/Harassment; and 1362.02, 3362.02, and 4362.02, Anti-Discrimination/Harassment Complaint Procedures.

RECOMMENDED:

That The School Board of Miami-Dade County, Florida, Superintendent to rulemaking authorize the initiate proceedings in accordance Administrative with the Procedure Act to amend Policies1129, 3129, and 4129, Conflict of Interest; 1210, 3210 and 4210, Standards of Conduct; Ethical 1362. 3362. and 4362. Anti-Discrimination/Harassment, and 1362.02, 3362.02, and Anti-Discrimination/Harassment 4362.02. Complaint Procedures.

NOTICE OF INTENDED ACTION

THE SCHOOL BOARD OF MIAMI-DADE COUNTY, FLORIDA, announced on March 9, 2016, its intention to amend Board Policies 1129, 3129, and 4129, *Conflict of Interest;* 1210, 3210 and 4210, *Standards of Ethical Conduct;* 1362, 3362, and 4362, *Anti-Discrimination/Harassment;* and 1362.02, 3362.02, and 4362.02, *Anti-Discrimination/Harassment Complaint Procedures,* at its meeting of April 13, 2016.

PURPOSE AND EFFECT: Amendments to Board Policies 1129, 3129, and 4129, *Conflict of Interest*, are proposed to be amended to prohibit employees from participating in the selection, award, or administration of a contract if the employee, a member of the employee's immediate family, the employee's partner or an organization which employs or is about to employ any of these parties, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract.

Policies 1210, 3210, and 4210, *Standards of Ethical Conduct*, are proposed to clarify the statutory ethics requirement that employees are expressly prohibited from soliciting or accepting anything of value, including a gift, loan, reward, promise of future employment, favor or service based upon an understanding that the vote, official action or judgment of the employee would be influenced.

Amendments to Policies 1362, 3362, and 4362, *Anti-Discrimination/Harassment*, are proposed to be amended to clarify employment eligibility verification processes as part of a settlement agreement with the United States United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Board Policies 1362.02, 3362.02, and 4362.02, *Anti-Discrimination/Harassment Complaint Procedures*, are proposed to be amended to adjust the timelines involved in the investigation of discrimination and harassment by the Civil Rights Compliance (CRC) Office and to include the complainant's right to request review of the CRC's final report by the Superintendent, which reflects the Board's current practice.

SUMMARY: In accordance with its statutory responsibilities, the School Board is required to review and update its policies as often as necessary to reflect statutory requirements and current practices. These policies are proposed to be amended to incorporate statutory requirements related to employee ethics and include the current practice of providing a complainant the right to request that the Superintendent review a Civil Rights Compliance Office final investigation report. In addition, the amendments clarify the Board's policy against discrimination on the basis of citizenship status in employment.

SPECIFIC LEGAL AUTHORITY UNDER WHICH RULEMAKING IS AUTHORIZED: 1001.41 (1) (2), 1001.42 (6),(7), 1001.43 (10),(11), F.S.

LAWS IMPLEMENTED INTERPRETED OR MADE SPECIFIC: 8 U.S.C. 1324(b); 112.312, 112.313, 1001.41 (1), (2),(5), 1001.42 (6), 1001.43 (10),(11), 1006.147, F.S.

IF REQUESTED, A HEARING WILL BE HELD DURING SCHOOL BOARD MEETING OF April 13, 2016, which begins at 1:00 p.m., in the School Board Auditorium, 1450 N.E. Second Avenue, Miami, Florida 33132. Persons requesting such a hearing or who wish to provide information regarding the statement of estimated regulatory costs, or to provide a proposal for a lower cost regulatory alternative as provided in Section 120.54(1), F.S., must do so in writing by April 4, 2016, to the Superintendent, Room 912, at the same address.

ANY PERSON WHO DECIDES TO APPEAL THE DECISION made by The School Board of Miami-Dade County, Florida, with respect to this action will need to ensure the preparation of a verbatim record of the proceedings, including the testimony and evidence upon which the appeal is to be based. Section 286.0105, F. S.

COPIES OF THE PROPOSED AMENDED POLICIES are available at cost to the public for inspection and copying in the Citizen Information Center, Room 158, 1450 N.E. Second Avenue, Miami, Florida 33132.

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CONFLICT OF INTEREST

2 3 4	for Public Office	ers and	ned by the statutory provisions contained in the Code of Ethics of Employees, Part III of F.S. Chapter 112, and by certain other ation Code. The following sections of this policy provide the
5			ed form, in the statutory mandates. They cannot be used as
		-	·
6			oly to different sets of circumstances or factual situations. In
7			the full statutory language must be consulted for applicable
8	definitions, and	d for an	ny exemptions.
9	A.	Emplo	oyees are required to comply with all provisions of the Code of
10		Ethics	s, including those provisions outlined below. This requirement
11			urages the appearance of impropriety and the perception of
12			e influence upon the business functions of the District.
13	B.	This p	policy applies specifically to conflicts of interest. Employees are
14		remin	ded that other Board policies exist that may also address an
15		emplo	oyee's particular issue or question. It is an employee's
16		respo	nsibility to refer to and observe all Board policies that may be
17		applio	cable to his/her individual situation.
18	Provisions		
19	A.	Gifts	
20		1.	Employees are prohibited from soliciting or accepting
21			anything of value, such as a gift, loan, reward, promise of
22			future employment, favor, or service that is based on an
23			understanding that their official action or judgment would be
24			influenced by such gift. (F.S. 112.313(2))
25		2.	No employee or his/her spouse or minor child shall accept
26			any compensation, payment, or thing of value when s/he
$\overline{27}$			knows, or with the exercise of reasonable care should know
28			that it was given to influence an action in which the employee
29			was expected to participate in his/her official capacity
30			(F.S. 112.313(4))
30			(1°.0. 114.010(†))

1 2	В.	Misu	se of Public Position
3 4 5 6		posit: privil	mployee shall corruptly use or attempt to use his/her official ion or perform his/her official duties to secure a special ege, benefit, or exemption for himself/herself or others. 112.313(6))
7	C.	Doing	g Business with the School Board
8 9 10 11 12 13 14		1.	No employee acting in his/her official capacity shall directly or indirectly purchase, rent, or lease any goods or services for the District from any business entity of which the employee, spouse, or child is an officer, partner, director or proprietor, or in which the employee, spouse, or child, or any combination of them, has a material interest. (F.S. 112.313(3))
15 16 17		2.	Some case-specific exceptions to this provision may apply. Employees should review F.S. 112.313(12), for exemptions that may be applicable to their particular situations.
1.8 1.9 2.0 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8		3.	Guidelines for Avoidance of Conflict of Interest To avoid conflicts of interests or the appearance of conflicts as it relates to personal purchases or private contracting, employees who are required to file an annual statement of financial interests (financial disclosure form) or who have the authority to make purchases on behalf of the District shall adhere to the following guidelines. These guidelines do not apply to purchases made in a private capacity of goods or services at a price and upon terms available to similarly situated members of the general public.
29 30 31 32			a. When entering into a transaction or contractual relationship as described above, employees subject to these guidelines will make the following inquiries to the entity with whom they are contracting:
33 34			1) Does the business entity have a contractual relationship with the Board for more than \$500?
35 36 37			2) Is the contracting individual a principal or employee of an entity with a contractual relationship with the Board for more than \$500?

1 2 3 4 5 6 7 8	b. If an affirmative answer is given to either inquiry above, the employee will request from the Board Attorney guidance on whether contracting with the individual or entity would constitute a conflict of interest pursuant to Code of Ethics for Public Officers and Employees, or other statute or Board policy. The Board Attorney will issue a written opinion or will refer the employee to the Florida Commission on Ethics.
9 10 11	4. Clarification and opinions regarding the application of the Code of Ethics for Public Officers and Employees can be obtained at any time from the Florida Commission on Ethics.
12 D 13 14 15 16	No employee shall disclose or use information not available to members of the general public and gained by reason of his/her official position for his/her personal gain or benefit or for the personal gain or benefit of any other person or business entity. (F.S. 112.313(8))
18 E. 19 20 21 22 23 24 25 26 27 28	Employees may not participate in the selection, award, or administration of a contract if s/he has a real or apparent conflict of interest. Such a conflict of interest would arise when the employee, any member of his/her immediate family, his/her partner, or an organization which employs or is about to employ any of the parties described in this section, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract. The phrase "about to apply," as used herein, shall mean that, at the time of the selection, award, or administration of the contract, the employee is aware of an offer, promise, contract, or commitment of employment.
29 30 E. 31 32 33 34 35 36	Employees are prohibited from personally representing another person or entity or acting as an agent or attorney for compensation in connection with any matter in which the District is interested for two (2) years after the employees' service terminates. (F.S. 112.313(9))
37 38 39	1. This restriction applies to all school senior level employees classified as Managerial Exempt Personnel, Pay Grade 22 and above, Dade County School Administrators Association, Pay

Grade 47 and above, and other equivalent positions.

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- 2. Exception to this provision is provided by statute for the purpose of collective bargaining, and may be granted to former District employees who are employees or agents of not-for-profit organizations, other governmental agencies or those whose business relationship is determined by the Board to be in the best interest of the District.
 - 3. The District is prohibited from entering into any business relations or continue an existing business relationship with any person or entity determined to have engaged in a violation of the restriction contained in this provision.
 - 4. In addition to penalties outlined in Penalties below, penalties for violation of this provision include a civil penalty equal to the compensation that the employee received for the prohibited conduct.

Self-Reporting Requirement

- All employees, upon initial hire and annually thereafter, shall certify that they will
- 17 comply with this Board policy, and that they will self-report any relationship that
- 18 may implicate a potential conflict of interest or other violations of this policy. The
- 19 certification shall be submitted according to a process determined by the Office of
- 20 Human-Capital ManagementResources.

21 **Penalties**

- 22 Penalties for violation of this rule and/or violations of the Code of Ethics include
- 23 dismissal from employment, suspension from employment for not more than
- 24 ninety (90) days without pay, demotion, reduction in salary level, forfeiture of no
- 25 more than one-third salary per month for no more than twelve (12) months, civil
- 26 penalty not to exceed \$10,000, restitution of any pecuniary benefits received
- 27 because of the violation committed, and/or public censure and reprimand.
- 28 (F.S. 112.317)
- 29 F.S. 112.313, 1006.32

CONFLICT OF INTEREST

- Employees are governed by the statutory provisions contained in the Code of Ethics for Public Officers and Employees, Part III of F.S. Chapter 112, and by certain other statutes in the Education Code. The following sections of this policy provide the principles, in simplified form, in the statutory mandates. They cannot be used as definitive rules to apply to different sets of circumstances or factual situations. In any given situation, the full statutory language must be consulted for applicable definitions, and for any exemptions.
- 9 Employees are required to comply with all provisions of the Code of Ethics, including 10 those provisions outlined below. This requirement discourages the appearance of 11 impropriety and the perception of undue influence upon the business functions of 12 the District.
- This policy applies specifically to conflicts of interest. Other Board policies exist that may also address an employee's particular issue or question. It is an employee's responsibility to refer to and observe all Board policies that may be applicable to his/her individual situation.

Provisions

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18 Gifts A. 19 Employees are prohibited from soliciting or accepting 1. anything of value, such as a gift, loan, reward, promise of 20 future employment, favor, or service that is based on an 21 understanding that their official action or judgment would be 22 23 influenced by such gift. (F.S. 112.313(2)) 24 2. No employee or his/her spouse or minor child shall accept any compensation, payment, or thing of value when s/he 25 knows, or with the exercise of reasonable care should know, 26 27 that it was given to influence an action in which the employee 28 was expected to participate in his/her official capacity. 29 (F.S. 112.313(4))

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THE SCHOOL BOARD OF MIAMI-DADE COUNTY

1	B.	Misu	se of Public Position
2 3 4 5 6		posit privil	mployee shall corruptly use or attempt to use his/her official ion or perform his/her official duties to secure a special ege, benefit, or exemption for himself/herself or others 112.313(6))
7	C.	Doin	g Business with the Board
8 9 0 1 1 2 3 4		1.	No employee acting in his or her official capacity shall directly or indirectly purchase, rent, or lease any goods or services for the Board from any business entity of which the employee or his/her spouse or child is an officer, partner, director or proprietor, or in which such employee or his/her spouse or child, or any combination of them, has a material interest (F.S. 112.313(3))
15 16 17 18		2.	Some case-specific exceptions to this provision may apply Board employees should review F.S. 112.313(12) for exemptions that may be applicable to their particular situations.
19		3.	Guidelines for Avoidance of Conflict of Interest
20 21 22 23 24 25 26 27 28			To avoid conflicts of interests or the appearance of conflicts as it relates to personal purchases or private contracting, Board employees who are required to file an annual statement of financial interests (financial disclosure form) or who have the authority to make purchases on behalf of the School District shall adhere to the following guidelines. These guidelines do not apply to purchases made in a private capacity of goods or services at a price and upon terms available to similarly situated members of the general public.
30 31 32 33			a. When entering into a transaction or contractual relationship as described above, employees subject to these guidelines will make the following inquiries to the entity with whom they are contracting:
34 35			1) Does the business entity have a contractual relationship with the Board for more than \$500?

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1 2 3		2) Is the contracting individual a principal or employee of an entity with a contractual relationship with the Board for more than \$500?
4 5 6 7 8 9 10		b. If an affirmative answer is given to either inquiry above, the employee will request from the Board Attorney guidance on whether contracting with the individual or entity would constitute a conflict of interest pursuant to Code of Ethics for Public Officers and Employees, or other statute or Board policy. The Board Attorney will issue a written opinion or will refer the employee to the Florida Commission on Ethics.
12 13 14		4. Clarification and opinions regarding the application of the Code of Ethics for Public Officers and Employees can be obtained at any time from the Florida Commission on Ethics.
15 16 17 18	D.	No employee shall disclose or use information not available to members of the general public and gained by reason of his/her official position for his/her personal gain or benefit or for the personal gain or benefit of any other person or business entity. (F.S. 112.313(8))
20 21 22 23 24 25 26 27 28 29 30 31	E.	Employees may not participate in the selection, award, or administration of a contract if s/he has a real or apparent conflict of interest. Such a conflict of interest would arise when the employee, any member of his/her immediate family, his/her partner, or an organization which employs or is about to employ any of the parties described in this section, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract. The phrase "about to apply," as used herein, shall mean that, at the time of the selection, award, or administration of the contract, the employee is aware of an offer, promise, contract, or commitment of employment.
32 33 34 35 36 37	<u>₽</u> F.	Employees are prohibited from personally representing another person or entity or acting as an agent or attorney for compensation in connection with any matter in which the District is interested for two (2) years after the employees' service terminates. (F.S. 112.313(9))
39		1. This restriction applies to all school senior level employees

classified as Managerial Exempt Personnel, Pay Grade 22 and

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INSTRUCTIONAL STAFF 3129/page 4 of 4

above, Dade County School Administrators Association, Pay Grade 47 and above, and other equivalent positions.

- 2. Exception to this provision is provided by statute for the purpose of collective bargaining, and may be granted to former District employees who are employees or agents of not-for-profit organizations, other governmental agencies or those whose business relationship is determined by the Board to be in the best interest of the District.
- 3. The District is prohibited from entering into any business relations or continue an existing business relationship with any person or entity determined to have engaged in a violation of the restriction contained in this provision.
- 4. In addition to penalties outlined in Penalties below, penalties for violation of this provision include a civil penalty equal to the compensation that the employee received for the prohibited conduct.

Self-Reporting Requirement

- All employees, upon initial hire and annually thereafter, shall certify that they will
- 19 comply with this Board policy, and that they will self-report any relationship that
- 20 may implicate a potential conflict of interest or other violations of this policy. The
- 21 certification shall be submitted according to a process determined by the Office of
- 22 Human Resources Capital Management.

Penalties

- 24 Penalties for violation of this policy and/or violations of the Code of Ethics include
- 25 dismissal from employment, suspension from employment for not more than
- 26 ninety (90) days without pay, demotion, reduction in salary level, forfeiture of no
- 27 more than one-third salary per month for no more than twelve (12) months, civil
- 28 penalty not to exceed \$10,000, restitution of any pecuniary benefits received
- 29 because of the violation committed, and/or public censure and reprimand.
- 30 (F.S. 112.317)
- 31 F.S. 112.313, 1006.32

CONFLICT OF INTEREST

- Employees are governed by the statutory provisions contained in the Code of Ethics for Public Officers and Employees, Part III of F.S. Chapter 112, and by certain other statutes in the Education Code. The following sections of this policy provides the principles, in simplified form, in the statutory mandates. They cannot be used as definitive rules to apply to different sets of circumstances or factual situations. In
- any given situation, the full statutory language must be consulted for applicable
- 8 definitions, and for any exemptions.
- 9 Employees are required to comply with all provisions of the Code of Ethics, including
- 10 those below. This requirement discourages the appearance of impropriety and the
- perception of undue influence upon the business functions of the District.
- 12 This policy applies specifically to conflicts of interest. Employees are reminded that
- 13 other Board policies exist that may also address an employee's particular issue or
- 14 question. It is an employee's responsibility to refer to and observe all Board policies
- that may be applicable to his/her individual situation.

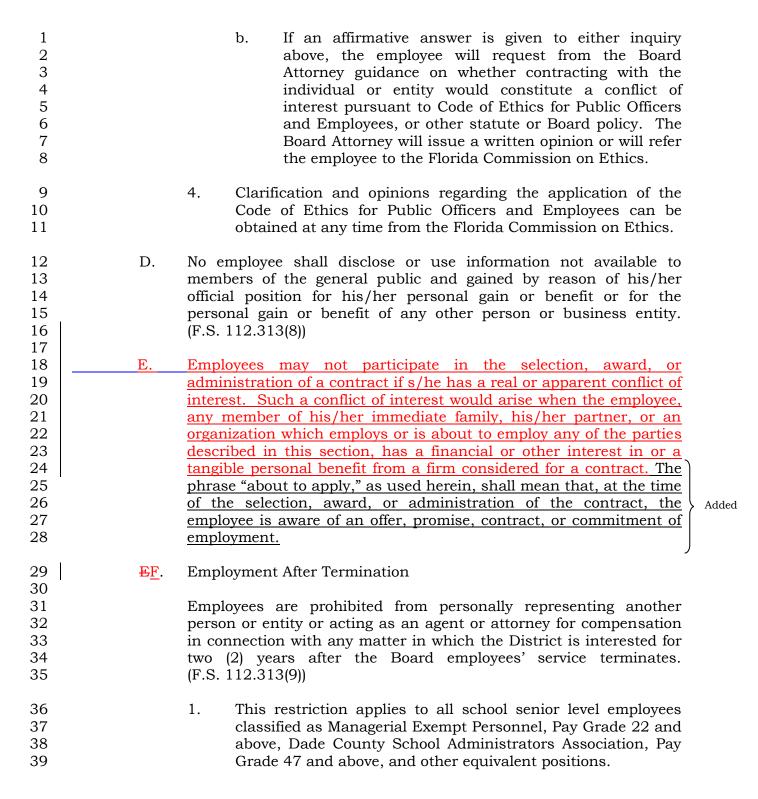
Provisions

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17 Gifts Α. 18 1. Employees are prohibited from soliciting or accepting 19 anything of value, such as a gift, loan, reward, promise of 20 future employment, favor, or service that is based on an understanding that their official action or judgment would be 21 influenced by such gift. (F.S. 112.313(2)) 22 2. 23 No employee or his/her spouse or minor child shall accept 24 any compensation, payment, or thing of value when s/he knows, or with the exercise of reasonable care should know, 25 that it was given to influence an action in which the employee 26 27 was expected to participate in his/her official capacity. 28 (F.S. 112.313(4))

1	В.	Misu	se of Public Position
2 3 4 5 6		posit privi	mployee shall corruptly use or attempt to use his/her official ion or perform his/her official duties to secure a special lege, benefit, or exemption for himself/herself or others. 112.313(6))
7	C.	Doin	g Business with the School Board
8 9 10 11 12 13 14		1.	No employee acting in his or her official capacity shall directly or indirectly purchase, rent, or lease any goods or services for the Board from any business entity of which the employee or his/her spouse or child is an officer, partner, director or proprietor, or in which such employee or his/her spouse or child, or any combination of them, has a material interest. (F.S. 112.313(3))
15 16 17		2.	Some case-specific exceptions to this provision may apply. Employees should review F.S. 112.313(12) for exemptions that may be applicable to their particular situations.
l8 l9		3.	Guidelines for Avoidance of Conflict of Interest
20			To avoid conflicts of interests or the appearance of conflicts as
21			it relates to personal purchases or private contracting, Board
22			employees who are required to file an annual statement of
23 24			financial interests (financial disclosure form) or who have the
24 25			authority to make purchases on behalf of the District shall adhere to the following guidelines. These guidelines do not
26			apply to purchases made in a private capacity of goods or
27			services at a price and upon terms available to similarly
28			situated members of the general public.
29			a. When entering into a transaction or contractual
30			relationship as described above, employees subject to
31			these guidelines will make the following inquiries to the
32			entity with whom they are contracting:
33			1) Does the business entity have a contractual
34			relationship with the Board for more than \$500?
35			2) Is the contracting individual a principal or
36			employee of an entity with a contractual
37			relationship with the Board for more than \$500?



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- 2. Exception to this provision is provided by statute for the purpose of collective bargaining, and may be granted to former District employees who are employees or agents of not-for-profit organizations, other governmental agencies or those whose business relationship is determined by the Board to be in the best interest of the District.
 - 3. The District is prohibited from entering into any business relations or continue an existing business relationship with any person or entity determined to have engaged in a violation of the restriction contained in this provision.
 - 4. In addition to penalties outlined below, penalties for violation of this provision include a civil penalty equal to the compensation that the employee received for the prohibited conduct.

Self-Reporting Requirement

- All employees, upon initial hire and annually thereafter, shall certify that they will
- 17 comply with this policy, and that they will self-report any relationship that may
- 18 implicate a potential conflict of interest or other violations of this policy. The
- 19 certification shall be submitted according to a process determined by the Office of
- 20 Human Resources Capital Management.

21 **Penalties**

- 22 Penalties for violation of this policy and/or violations of the Code of Ethics include
- 23 dismissal from employment, suspension from employment for not more than
- 24 ninety (90) days without pay, demotion, reduction in salary level, forfeiture of no
- 25 more than one-third salary per month for no more than twelve (12) months, civil
- 26 penalty not to exceed \$10,000, restitution of any pecuniary benefits received
- 27 because of the violation committed, and/or public censure and reprimand.
- 28 (F.S. 112.317)
- 29 F.S. 112.313, 1006.32

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STANDARDS OF ETHICAL CONDUCT

2 3 4		yment	oresentatives of the District and shall conduct themselves, both and in the community, in a manner that will reflect credit upon chool system.
5	A.	An ac	dministrator shall:
6 7 8		1.	keep current in their subject area through attendance at professional meetings, acquaintance with professional publications, and participation in inservice activities;
9 10 11		2.	make a reasonable effort to protect the student from conditions harmful to learning and/or to the student's mental and/or physical health and/or safety;
12 13		3.	not unreasonably restrain a student from independent action in pursuit of learning;
14 15		4.	not unreasonably deny a student access to diverse points of view;
16 17		5.	not intentionally suppress or distort subject matter relevant to a student's academic program;
18 19		6.	not intentionally expose a student to unnecessary embarrassment or disparagement;
20		7.	not intentionally violate or deny a student's legal rights;
21 22 23 24		8.	not harass or discriminate against any student on any basis prohibited by law or the Board and shall make reasonable efforts to assure that each student is protected from harassment or discrimination;
25 26 27		9.	not exploit a relationship with a student for personal gain or advantage; [See School Board Policy 1213, Student Supervision and Welfare].

1 2 3	10.	keep confidential personally identifiable information obtained in the course of professional service, unless disclosure serves professional purposes or is required by law;
4 5 6	11.	take reasonable precautions to distinguish between personal views and those of any educational institution or organization with which the individual is affiliated;
7 8	12.	not intentionally distort or misrepresent facts concerning an educational matter in direct or indirect public expression;
9 10	13.	not use institutional privileges for personal gain or advantage; (see also Policy 1129, Conflict of Interest)
11 12 13 14	14.	accept no gratuity, gift, or favor that might influence professional judgment; (see also Policy 1129, Conflict of Interest)
14 15 16 17 18		Pursuant to F.S. 112.313, no administrator shall solicit or accept anything of value including a gift (see F.S. 112.312), loan, reward, promise of future employment, favor, or service based upon an understanding that the vote, official action, or judgment of the administrator would be influenced thereby.
20 21	15.	offer no gratuity, gift, or favor to obtain special advantages; (see also Policy 1129, Conflict of Interest)
22	16.	maintain honesty in all professional dealings;
23 24 25	17.	maintain, prepare, and submit promptly all reports that may be required by State law, State Department of Education rules, Board policies, and administrative directives;
26 27 28	18.	not deny a colleague professional benefits, advantages, or participation in any professional organization based on any basis prohibited by law or the Board;
29 30	19.	not interfere with a colleague's exercise of political or civil rights and responsibilities;
31 32 33 34 35	20.	not engage in harassment or discriminatory conduct which unreasonably interferes with an individual's performance of professional or work responsibilities or with the orderly processes of education or which creates a hostile, intimidating, abusive, offensive, or oppressive environment;

THE SCHOOL BOARD OF **ADMINISTRATION** MIAMI-DADE COUNTY 1210/page 3 of 4 and, further, shall make reasonable efforts to assure that each individual is protected from such harassment or discrimination; 21. not use abusive and/or profane language or display unseemly conduct in the workplace; 22. not make malicious or intentionally false statements about a colleague; 23. not use coercive means or promise special treatment to influence professional judgments of colleagues; 24. not misrepresent one's own professional qualifications; 25. not submit fraudulent information on any document in connection with professional activities; 26. not make any fraudulent statement or fail to disclose a material fact in one's own or another's application for a professional position; 27. not withhold information regarding a position from an applicant or misrepresent an assignment or conditions of employment; 28. provide upon the request of a certificated individual a written statement of specific reason for recommendations that lead to the denial of increments, significant changes in employment, or termination of employment; 29. not assist entry into or continuance in the profession of any person known to be unqualified in accordance with these Principles of Professional Conduct for the Education Profession in Florida and other applicable Florida statutes and State Board of Education rules; 30. self-report within forty-eight (48) hours to appropriate authorities any arrest and final dispositions of such arrest other than minor traffic violations. (DUI is not considered a minor traffic violation.);

Administrators shall also self-report any conviction, finding of guilt, withholding of adjudication, commitment to a pretrial diversion program, or entering of a plea of guilty or Nolo Contendere for any criminal offense other than a minor traffic violation within forty-eight (48) hours after the final judgment.

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1 2 3 4		31.	report any criminal act, and/or disruptive, and/or inappropriate behavior to the administrator or designee to whom the employee is responsible;
5 6 7 8 9 10 11			Allegations of child abuse and/or neglect MUST also be reported, immediately upon knowledge, to the Florida Department of Children and Families at 1-800-96ABUSE (1-800-962-2873), to School Police at 305-995-COPS (305-995-2677), and the site administrator. Failure to immediately report child abuse and/or neglect to the proper authorities will lead to disciplinary action.
12 13 14		32.	report to appropriate authorities any known allegation of a violation of the Florida School Code or State Board of Education rules as defined in F.S. 1012.795(1);
15 16 17		33.	seek no reprisal against any individual who has reported any allegation of a violation of the Florida School Code or State Board of Education rules as defined in F.S. 1012.795(1);
18 19 20		34.	comply with the conditions of an order of the Education Practices Commission imposing probation, imposing a fine, or restricting the authorized scope of practice;
21 22 23		35.	as the supervising administrator, cooperate with the Education Practices Commission in monitoring the probation of a subordinate.
24 25 26 27 28	B. 	direct activit substa	aff member shall have any interest, financial or otherwise, or indirect; engage in any business transaction or professional ty; or incur any obligation of any nature which that is in antial conflict with the proper discharge of his/her duties in ablic interest. (see also Policy 1129, Conflict of Interest)
29	All administrat	ors sha	all be required to complete training on these standards upon

employment and annually thereafter.

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^{31 |} F.S. <u>112.312</u>,112.313, 1001.42(6), 1012.23,<u>1012.795(1)</u>

³² F.A.C. 6B-1.001, 6B-1.006

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STANDARDS OF ETHICAL CONDUCT

2 3 4	1 0	yment	presentatives of the District and shall conduct themselves, both and in the community, in a manner that will reflect credit upon chool system.
5	A.	An in	structional staff member shall:
6 7 8 9		1.	teach efficiently and faithfully, using the books and materials required, following the prescribed courses of study, and employing approved methods of instruction as provided by law and by the rules of the State Department of Education;
10 11 12		2.	keep current in their subject area through attendance at professional meetings, acquaintance with professional publications, and participation in inservice activities;
13 14 15		3.	make a reasonable effort to protect the student from conditions harmful to learning and/or to the student's mental and/or physical health and/or safety;
6 7		4.	not unreasonably restrain a student from independent action in pursuit of learning;
8		5.	not unreasonably deny a student access to diverse points of view;
20 21		6.	not intentionally suppress or distort subject matter relevant to a student's academic program;
22 23		7.	not intentionally expose a student to unnecessary embarrassment or disparagement;
24		8.	not intentionally violate or deny a student's legal rights;
25 26 27 28		9.	not harass or discriminate against any student on any basis prohibited by law or the Board and shall make reasonable efforts to assure that each student is protected from harassment or discrimination;

INSTRUCTIONAL STAFF 3210/page 2 of 5

1 2 3	10.	not exploit a relationship with a student for personal gain or advantage; [See School Board Policy 1213, Student] Supervision and Welfare].
4 5 6	11.	keep confidential personally identifiable information obtained in the course of professional service, unless disclosure serves professional purposes or is required by law;
7 8 9	12.	take reasonable precautions to distinguish between personal views and those of any educational institution or organization with which the individual is affiliated;
10 11	13.	not intentionally distort or misrepresent facts concerning an educational matter in direct or indirect public expression;
12 13	14.	not use institutional privileges for personal gain or advantage; (see also Policy 3129, Conflict of Interest)
14 15 16 17	15.	accept no gratuity, gift, or favor that might influence professional judgment; (see also Policy 3129, Conflict of Interest)
18 19 20 21 22 23		Pursuant to F.S. 112.313, no instructional staff member shall solicit or accept anything of value including a gift (see F.S. 112.312), loan, reward, promise of future employment, favor, or service based upon an understanding that the vote, official action, or judgment of the instructional staff member would be influenced thereby.
24 25	16.	offer no gratuity, gift, or favor to obtain special advantages; <i>(see also Policy 3129, Conflict of Interest)</i>
26	17.	maintain honesty in all professional dealings;
27 28 29	18.	maintain, prepare, and submit promptly all reports that may be required by State law, State Department of Education rules, Board rules, and administrative directives;
30 31 32	19.	not deny a colleague professional benefits, advantages, or participation in any professional organization on any basis prohibited by law or the Board;
33 34	20.	not interfere with a colleague's exercise of political or civil rights and responsibilities:

BOARD OF INSTRUCTIONAL STAFF
COUNTY 3210/page 3 of 5
21. not use abusive and/or profane language or display unseemly

2	21.	conduct in the workplace;
3 4 5 6 7 8 9	22.	not engage in harassment or discriminatory conduct which unreasonably interferes with an individual's performance of professional or work responsibilities or with the orderly processes of education or which creates a hostile, intimidating, abusive, offensive, or oppressive environment; and, further, shall make reasonable efforts to assure that each individual is protected from such harassment or discrimination;
11 12	23.	not make malicious or intentionally false statements about a colleague;
13 14	24.	not use coercive means or promise special treatment to influence professional judgments of colleagues;
15	25.	not misrepresent one's own professional qualifications;
16 17	26.	not submit fraudulent information on any document in connection with professional activities;
18 19 20	27.	not make any fraudulent statement or fail to disclose a material fact in one's own or another's application for a professional position;
21 22 23	28.	not withhold information regarding a position from an applicant or misrepresent an assignment or conditions of employment;
24 25 26 27	29.	provide upon the request of a certificated individual a written statement of specific reason for recommendations that lead to the denial of increments, significant changes in employment, or termination of employment;
28 29 30 31 32	30.	not assist entry into or continuance in the profession of any person known to be unqualified in accordance with these Principles of Professional Conduct for the Education Profession in Florida and other applicable Florida statutes and State Board of Education rules;

INSTRUCTIONAL STAFF 3210/page 4 of 5

1 2 3 4 5	31.	self-report within forty-eight (48) hours to appropriate authorities any arrest and final dispositions of such arrest other than minor traffic violations; (DUI is not considered a minor traffic violation.)
6 7 8 9 10		Instructional staff members shall self-report any conviction, finding of guilt, withholding of adjudication, commitment to a pretrial diversion program, or entering of a plea of guilty or Nolo Contendere for any criminal offense other than a minor traffic violation within forty-eight (48) hours after the final judgment.
12 13 14	32.	report to appropriate authorities any known allegation of a violation of the Florida School Code or State Board of Education rules as defined in F.S. 1012.795(1);
15 16 17 18	33.	report any criminal act, and/or disruptive, and/or inappropriate behavior to the administrator or designee to whom the employee is responsible;
20 21 22 23 24		Allegations of child abuse and/or neglect <u>MUST</u> also be reported, immediately upon knowledge, to the Florida Department of Children and Families at 1-800-96ABUSE (1-800-962-2873), to School Police at 305-995-COPS (305-995-2677), and the site administrator. Failure to immediately report child abuse and/or neglect to the proper authorities will lead to disciplinary action.
26 27 28	34.	seek no reprisal against any individual who has reported any allegation of a violation of the Florida School Code or State Board of Education rules as defined in F.S. 1012.795(1);
29 30 31	35.	comply with the conditions of an order of the Education Practices Commission imposing probation, imposing a fine, or restricting the authorized scope of practice;

INSTRUCTIONAL STAFF 3210/page 5 of 5

1 2 3		36. as the supervising administrator, cooperate with the Education Practices Commission in monitoring the probation of a subordinate.
4 5 6 7 8	B.	No staff member shall have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or incur any obligation of any nature which-that is in conflict with the proper discharge of his/her duties in the public interest. (see also Policy 3129, Conflict of Interest)
9 10 11	C.	All instructional staff members shall be required to complete training on these standards upon employment and annually thereafter.

12 | F.S. <u>112.312</u>,112.313, 1001.42(6), 1012.23,1012.795(1)

13 F.A.C. 6B-1.001, 6B-1.006

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STANDARDS OF ETHICAL CONDUCT

2 3 4	All employees are representatives of the District and shall conduct themselves, both in their employment and in the community, in a manner that will reflect credit upon themselves and the school system.				
5 A support staff member with direct access to students shall:					
6 7 8	A <u>1</u> .	make a reasonable effort to protect the student from conditions harmful to learning and/or to the student's mental and/or physical health and/or safety.			
9 10	<u>B2</u> .	not unreasonably restrain a student from independent action in pursuit of learning.			
11 12	€ <u>3</u> .	not intentionally expose a student to unnecessary embarrassment or disparagement.			
13	D 4.	not intentionally violate or deny a student's legal rights.			
14 15 16 17	<u>₽5</u> .	not harass or discriminate against any student on any basis prohibited by law or the Board and shall make reasonable efforts to assure that each student is protected from harassment or discrimination.			
18 19 20	<u>₽6</u> .	not exploit a relationship with a student for personal gain or advantage. [See School Board Policy 1213, <i>Student Supervision and Welfare</i>].			
21 22 23	G <u>7</u> .	keep in confidence personally identifiable information obtained in the course of professional service, unless disclosure serves professional purposes or is required by law.			
24 25	<u>#8</u> .	not intentionally distort or misrepresent facts concerning an educational matter in direct or indirect public expression.			
26 27	<u>19</u> .	not use institutional privileges for personal gain or advantage. <u>(see also Policy 4129, Conflict of Interest)</u>			

THE SCHOOL BOARD OF SUPPORT STAFF MIAMI-DADE COUNTY 4210/page 2 of 3 1 accept no gratuity, gift, or favor that might influence judgment. (see 2 also Policy 4129, Conflict of Interest) 3 4 Pursuant to F.S. 112.313, no support staff member shall solicit or 5 accept anything of value including a gift (see F.S. 112.312), loan, reward, promise of future employment, favor, or service based upon 6 7 an understanding that the vote, official action, or judgment of the support staff member would be influenced thereby. 8 9 K11. offer no gratuity, gift, or favor to obtain special advantages. (see also 10 Policy 4129, Conflict of Interest 11 L12. maintain honesty in all dealings. 12 M13. not interfere with another District employee's exercise of political or 13 civil rights and responsibilities. N14. not engage in harassment or discriminatory conduct which 14 unreasonably interferes with an individual's performance of work 15 16 responsibilities or with the orderly processes of education or which 17 creates a hostile, intimidating, abusive, offensive, or oppressive environment; and, further, shall make reasonable efforts to assure 18 that each individual is protected from such harassment or 19 20 discrimination. 21 O15. not make malicious or intentionally false statements about another 22 District employee. 23 P16. not misrepresent one's qualifications. 24 Q17. not submit fraudulent information on any document in connection with employment. 25 26 R18. not make any fraudulent statement or fail to disclose a material fact in one's own or another's application for employment. 27 28 \$19. not use abusive and/or profane language or display unseemly 29 conduct in the workplace. **T20.** report any criminal act, and/or disruptive, and/or inappropriate behavior to the administrator or designee to whom the employee is responsible. Allegations of child abuse and/or neglect MUST also be reported, immediately upon knowledge, to the Florida Department of Children and Families at 1-800-96ABUSE (1-800-962-2873), AND to the School Police

at 305-995-COPS (305-995-2677). Failure to immediately report child abuse

and/or neglect to the proper authorities will lead to disciplinary action.

SUPPORT STAFF 4210/page 3 of 3

No support staff member shall have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or incur any obligation of any nature which that is in substantial conflict with the proper discharge of his/her duties in the public interest. (see also Policy 4129, Conflict of Interest)

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All support staff members who have direct access to students shall be required to complete training on these standards upon employment and annually thereafter.

- 9 F.S. <u>112.312</u>,112.313, 1001.42(6), 1012.23,<u>1012.795(1)</u>
- 10 F.A.C. 6B-1.001, 6B-1.006

ANTI-DISCRIMINATION/HARASSMENT

- 2 The School Board shall comply with all Federal laws and regulations prohibiting 3 discrimination and with all requirements and regulations of the U.S. Department of 4 Education. The Board shall maintain an educational and work environment which 5 is free from all forms of discrimination/harassment, including sexual harassment. 6 This commitment applies to all District operations, programs, and activities. All students, administrators, teachers, staff, and all other school personnel share 7 8 responsibility for avoiding, discouraging, and reporting any form of prohibited harassment. This policy applies to prohibited conduct occurring on school property,
- 9 10 or at another location if such conduct occurs during an activity sponsored by the

11 Board.

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- 12 The Board will vigorously enforce its prohibition against discrimination/harassment
- based on sex, race, color, ethnic or national origin, citizenship status, religion, 13
- marital status, disability, genetic information, age, political beliefs, sexual 14
- orientation, gender, gender identification, social and family background, linguistic 15
- 16 preference, pregnancy, and any other legally prohibited basis. Retaliation against an
- 17 employee or student for engaging in a protected activity is prohibited.
- 18 The District community, as well as third parties, who feel aggrieved are encouraged
- to seek assistance to rectify the problems. An employee who has a reasonable and 19
- 20 good-faith belief that s/he has been the subject of discrimination/harassment based
- 21 on the protected categories shall communicate in writing to the Board according to
- 22 the District's anti-discrimination/harassment complaint procedure rule. 23 anonymous complaints shall be accepted or processed. The Board will investigate
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- allegations of discrimination/harassment and in those cases where legally 25 prohibited discrimination or harassment is substantiated, the Board will take
- 26 immediate steps to end the discrimination/harassment. Individuals who are found
- to have engaged in discrimination/harassment will be subject to appropriate 27
- disciplinary action. 28
- 29 "District community" means students, administrators, teachers, staff, and all other
- 30 school personnel, including Board members, agents, or other persons subject to the
- 31 control and supervision of the Board.

- 1 "Third parties" include, but are not limited to, guests and/or visitors on District
- 2 property (e.g., visiting speakers, participants on opposing athletic teams, parents),
- 3 vendors doing business with, or seeking to do business with, the Board, and other
- 4 individuals who come in contact with members of the District community at school-
- 5 related events/activities (whether on or off District property).

Other Violations

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7 The Board will also take immediate steps to discipline individuals for:

- A. Retaliating against a person who has made a report or filed a complaint alleging unlawful discrimination/harassment, or who has participated as a witness in a discrimination/harassment investigation.
- B. Filing a malicious or knowingly false report or complaint of harassment.
- C. Disregarding, failing to investigate adequately, or delaying investigation of allegations of prohibited discrimination/harassment, when responsibility for reporting and/or investigating unlawful harassment charges comprises part of one's supervisory duties.

The Superintendent shall appoint a compliance officer whose responsibility will be to require that Federal and State regulations related to employees are complied with and that any complaints are dealt with promptly in accordance with law. The Board will provide proper notice of nondiscrimination for Title II, Title VI, and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendment Act of 1972, Section 504 of the Rehabilitation Act of 1973, Americans with Disabilities Act of 1990, the anti-discrimination provisions of the Immigration and Nationality Act, and the Age Discrimination in Employment Act, will be provided to staff members and the general public. Any sections of the District's collectively-bargained agreements dealing with hiring, promotion, and tenure will contain a statement of nondiscrimination similar to that in the Board's statement above.

In accordance with U.S.C. Section 1324b(6) of the Immigration and Nationality Act (INA) and the goal of preventing discrimination on the basis of an individual's national origin or citizenship status, school district personnel are prohibited from (i) requesting employment eligibility verification documents from any applicant for employment prior to making an offer of employment, (ii) discriminating on the basis of citizenship status or national origin during the employment eligibility verification and reverification processes, and (iii) discriminating against individuals on the basis of citizenship status or national origin during the employee recruitment, hiring, and terminating firing processes. Applicants and employees who believe that a violation has occurred have a right to contact and/or file a charge with the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices (currently: http://www.justice.gov/crt/aboutosc)(currently: OSC Worker Hotline: 1-800-255-7688[Voice] or 1-800-237-2515 [TTY])

Revised

1 **Definitions**

- 2 Definitions of the protected categories can be found in the CRC webpage at 3 http://crc.dadeschools.net/pro_categories.asp.
- 4 Reports and Complaints of Discrimination or Harassing Conduct

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Individuals with Complaints of regarding employment discrimination based on the basis of citizenship status or unfair documentary practices may be filed with should contact the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices, 1-800-255-7688 or http://www.justice.gov/crt/about/osc within 180 days of the alleged discriminatory act. For information or to file a charge, contact 1 800-255-7688 [Voice], 1-800-237-2515 [TTY] or http://www.justice.gov/crt/about/osc.

Revised

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<u>All other Mmembers</u> of the District community and third parties must promptly report incidents of discriminatory or harassing conduct to their supervisor or the Office of Civil Rights Compliance (CRC) so that the Board may address the conduct before it becomes severe, pervasive, or persistent.

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Members of the District community or third parties who believe they have been unlawfully harassed by another member of the District community or a third party are entitled to utilize the Board's complaint process in Policy 1362.02. A complaint must be submitted within 300 calendar days of the alleged discriminatory act(s). Individuals should make every effort to present a complaint as soon as possible after the conduct occurs while the facts are known and potential witnesses are available and to eliminate of the conduct in question. Initiating a complaint will not adversely affect the complaining individual's employment or participation in educational or extra-curricular programs unless the complaining individual makes the complaint

ADMINISTRATION 1362/page 4 of 5

maliciously or with knowledge that it is false. No anonymous complaints shall be accepted or processed.

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The complaint process in Policy 1362.02 is not intended to interfere with the right to pursue a complaint of prohibited harassment/discrimination with the United States Department of Education, Office of Civil Rights, the Florida Civil Rights Commission, the Equal Employment Opportunity Commission, or the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Process for Addressing Complaints of Discrimination/Harassment

- 11 The process to provide members of the District community who have a good faith
- 12 belief that they are being discriminated/harassed is in Policy 1362.02. Once the
- 13 complaint process is begun, the investigation will be completed in a timely manner
- 14 (ordinarily, within thirty (30) calendar days of the complaint being received).
- 15 The processes for making a charge of discrimination/harassment, for investigating
- claims of discrimination/harassment, and for rendering a decision regarding 16
- 17 whether the claim of prohibited harassment was substantiated are
- 18 Policy 1362.02. Information about this policy and Policy 1362.02 will be readily
- 19 available to all members of the District community and posted in appropriate places
- 20 throughout the District.

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- 22 Information regarding where and how to file complaints of sexual and other forms of
- 23 discrimination/harassment will be distributed annually through the parent and staff
- 24 handbooks and published on the District's web site and other locations required by
- 25
- 26 Any teacher, administrator, coach, or other school authority who engages in sexual
- 27 conduct with a student may also be guilty of a crime.

Confidentiality

- 29 The District will make reasonable efforts to maintain the confidentiality of the
- 30 parties involved in an investigation of discrimination/harassment during the course
- 31 of the investigation. Confidentiality, however, cannot be guaranteed. All public
- 32 records created as part of an investigation of a complaint of prohibited
- 33 discrimination/harassment will be maintained by the Compliance Officer in
- 34 accordance with State law and the Board's records retention policy. Records of an
- 35
- ongoing investigation shall remain confidential and not subject to disclosure
- 36 pursuant to F.S. Chapter 119 until a final determination is made on the case.
- 37 The complaint process in Policy 4362.02 is not intended to interfere with the rights
- 38 of a member of the School District community or a third party to pursue a complaint
- of prohibited harassment with the United States Department of Education, Office for 39
- 40 Civil Rights, the Florida Civil Rights Commission, or the Equal Employment
- 41 Opportunity Commission.

Sanctions and Monitoring

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- 2 The Board shall vigorously enforce its prohibitions against prohibited harassment.
- 3 While observing the principles of due process, a violation of this policy may result in
- 4 disciplinary action up to and including the discharge of an employee. All
- 5 disciplinary action will be taken according to applicable State law and the terms of
- 6 the applicable collective bargaining agreement(s). When imposing discipline, the
- 7 totality of the circumstances involved in the matter will be considered. In those
- 8 cases where prohibited discrimination/harassment is not substantiated, the Board
- 9 may consider whether the alleged conduct nevertheless warrants discipline in
- 10 accordance with other Board policies, consistent with the terms of the applicable
- 11 collective bargaining agreement(s).
- Where the Board becomes aware that a prior remedial action has been taken against
- 13 a member of the District community, all subsequent sanctions imposed by the
- 14 Board and/or Superintendent shall be reasonably calculated to eliminate such
- 15 conduct in the future.

16 Education and Training

- 17 The Superintendent shall provide appropriate training to members of the District
- 18 community to implement this policy and Policy 1362.02.
- 19 F.S. 110.1221, 760.01, 760.10, 1000.05, 1006.07
- 20 8 U.S.C. 1324b
- 21 42 U.S.C. 2000d et seq.
- 22 42 U.S.C. 2000e et seq.
- 23 29 U.S.C. 621 et seq.
- 24 29 U.S.C. 794
- 25 29 C.F.R. Part 1635
- 26 42 U.S.C. 12101 et seq.
- 27 20 U.S.C. 1681 et seq.
- 28 42 U.S.C. 1983
- 29 42 U.S.C. 2000ff et seq., The Genetic Information Nondiscrimination Act
- 30 National School Boards Association Inquiry and Analysis May 2008

ANTI-DISCRIMINATION/HARASSMENT

- 2 The School Board shall comply with all Federal laws and regulations prohibiting
- 3 discrimination and with all requirements and regulations of the U.S. Department of
- 4 Education. The Board shall maintain an educational and work environment which
- 5 is free from all forms of discrimination/harassment, including sexual harassment.
- 6 This commitment applies to all District operations, programs, and activities. All
- students, administrators, teachers, staff, and all other school personnel share 7
- 8 responsibility for avoiding, discouraging, and reporting any form of prohibited
- 9 harassment. This policy applies to prohibited conduct occurring on school property,
- 10 or at another location if such conduct occurs during an activity sponsored by the
- 11 Board.

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- 12 The Board will vigorously enforce its prohibition against discrimination/harassment
- based on sex, race, color, ethnic or national origin, citizenship status, religion, 13
- marital status, disability, genetic information, age, political beliefs, sexual 14
- 15 orientation, gender, gender identification, social and family background, linguistic
- 16 preference, pregnancy, and any other legally prohibited basis. Retaliation against an
- 17 employee or student for engaging in a protected activity is prohibited.
- 18 The District community as well as third parties, who feel aggrieved are encouraged
- to seek assistance to rectify the problems. An employee who has a reasonable and 19
- 20 good-faith belief that s/he has been the subject of discrimination/harassment based
- 21 on the protected categories shall communicate in writing to the Board according to
- 22 the District's anti-discrimination/harassment complaint procedure rule.
- 23
- anonymous complaints shall be accepted or processed. The Board will investigate 24
- allegations of discrimination/harassment and in those cases where legally
- 25 prohibited discrimination or harassment is substantiated, the Board will take
- 26 immediate steps to end the discrimination/harassment. Individuals who are found
- to have engaged in discrimination/harassment will be subject to appropriate 27
- disciplinary action. 28
- 29 "District community" means students, administrators, teachers, staff, and all other
- 30 school personnel, including Board members, agents, or other persons subject to the
- 31 control and supervision of the Board.

- 1 "Third parties" include, but are not limited to, guests and/or visitors on District
- 2 property (e.g., visiting speakers, participants on opposing athletic teams, parents),
- 3 vendors doing business with, or seeking to do business with, the Board, and other
 - individuals who come in contact with members of the District community at school-
- 5 related events/activities (whether on or off District property).

6 Other Violations

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7 The Board will also take immediate steps to discipline individuals for:

- 8 A. retaliating against a person who has made a report or filed a complaint alleging unlawful discrimination/harassment, or who has participated as a witness in a discrimination/harassment investigation;
- B. filing a malicious or knowingly false report or complaint of harassment;
- 14 C. disregarding, failing to investigate adequately, or delaying 15 investigation of allegations of prohibited discrimination/harassment, 16 when responsibility for reporting and/or investigating unlawful 17 harassment charges comprises part of one's supervisory duties.

The Superintendent shall appoint a compliance officer whose responsibility will be to require that Federal and State regulations related to employees are complied with and that any complaints are dealt with promptly in accordance with law. The Board will provide proper notice of nondiscrimination for Title II, Title VI, and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendment Act of 1972, Section 504 of the Rehabilitation Act of 1973, Americans with Disabilities Act of 1990, the anti-discrimination provisions of the Immigration and Nationality Act, and the Age Discrimination in Employment Act, will be provided to staff members and the general public. Any sections of the District's collectively-bargained agreements dealing with hiring, promotion, and tenure will contain a statement of nondiscrimination similar to that in the Board's statement above.

In accordance with U.S.C. Section 1324b(6) of the Immigration and Nationality Act (INA) and the goal of preventing discrimination on the basis of an individual's national origin or citizenship status, school district personnel are prohibited from (i) requesting employment eligibility verification documents from any applicant for employment prior to making an offer of employment, (ii) discriminating on the basis of citizenship status or national origin during the employment eligibility verification and reverification processes, and (iii) discriminating against individuals on the basis of citizenship status or national origin during the employee recruitment, hiring, and terminating firing processes. Applicants and employees who believe that a violation has occurred have a right to contact and/or file a charge with the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Unfair **Employment** (currently: Immigration-Related Practices http//www.justice.gov/crt/about/osc)(currently: OSC Worker Hotline: 1-800-255-7688[Voice] or 1-800-237-2515 [TTY])

Revised

1 **Definitions**

2 Definitions of the protected categories can be found in the CRC webpage at http://crc.dadeschools.net/pro_categories.asp. 3

4 Reports and Complaints of Discrimination or Harassing Conduct

Individuals with Complaints of regarding employment discrimination based on the basis of citizenship status or unfair documentary practices may be filed with should contact the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices, 1-800-255-7688 or http://www.justice.gov/crt/about/osc within 180 days of the alleged discriminatory act. For information or to file a charge, contact 1-800-255-7688 [Voice], 1-800-237-2515 [TTY] or http://www.justice.gov/crt/about/osc.

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All other Mmembers of the District community and third parties must promptly report incidents of discriminatory or harassing conduct to their supervisor or the Office of Civil Rights Compliance (CRC) so that the Board may address the conduct before it becomes severe, pervasive, or persistent.

Members of the District community or third parties who believe they have been unlawfully harassed by another member of the District community or a third party are entitled to utilize the Board's complaint process in Policy 3362.02. A complaint must be submitted within 300 calendar days of the alleged discriminatory act(s). Individuals should make every effort to present a complaint as soon as possible after the conduct occurs while the facts are known and potential witnesses are available and to eliminate of the conduct in question. Initiating a complaint will not adversely affect the complaining individual's employment or participation in educational or extra-curricular programs unless the complaining individual makes the complaint

maliciously or with knowledge that it is false. No anonymous complaints shall be 27 accepted or processed.

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The complaint process in Policy 1362.02 is not intended to interfere with the right to pursue a complaint of prohibited harassment/discrimination with the United States Department of Education, Office of Civil Rights, the Florida Civil Rights Commission, the Equal Employment Opportunity Commission, or the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Process for Addressing Complaints of Discrimination/Harassment

- 9 The process to provide members of the District community who have a good faith
- 10 belief that they are being discriminated/harassed is in Policy 3362.02. Once the
- 11 complaint process is begun, the investigation will be completed in a timely manner
- 12 (ordinarily, within thirty (30) calendar days of the complaint being received).
- 13 The processes for making a charge of discrimination/harassment, a process for
- 14 investigating claims of discrimination/harassment, and a process for rendering a
- decision regarding whether the claim of prohibited harassment was substantiated
- are in Policy 3362.02. Information about this policy and Policy 3362.02 will be
- 17 readily available to all members of the District community and posted in appropriate
- 18 places throughout the District.
- 19 Information regarding where and how to file complaints of sexual and other forms of
- 20 discrimination/harassment will be distributed annually through the parent and staff
- 21 handbooks and published on the District's web site and in any other location
- 22 required by law.
- 23 Any teacher, administrator, coach, or other school authority who engages in sexual
- 24 conduct with a student may also be guilty of a crime.

Confidentiality

- 26 The District will make reasonable efforts to maintain the confidentiality of the
- 27 parties involved in an investigation of discrimination/harassment during the course
- 28 of the investigation. Confidentiality, however, cannot be guaranteed. All public
- 29 records created as part of an investigation of a complaint of prohibited
- 30 discrimination/harassment will be maintained by the Compliance Officer in
- 31 accordance with State law and the Board's records retention policy. Records of an
- 32 ongoing investigation shall remain confidential and not subject to disclosure
- pursuant to F.S. Chapter 119 until a final determination is made on the case.
- 34 The complaint process in Policy 3362.02 is not intended to interfere with the rights
- 35 of a member of the District community or a third party to pursue a complaint of
- 36 prohibited harassment with the United States Department of Education, Office for
- 37 Civil Rights, the Florida Civil Rights Commission, or the Equal Employment
- 38 Opportunity Commission.

Sanctions and Monitoring

INSTRUCTIONAL STAFF 3362/page 5 of 5

- 1 The Board shall vigorously enforce its prohibitions against prohibited harassment.
- While observing the principles of due process, a violation of this policy may result in
- 3 disciplinary action up to and including the discharge of an employee. All
- 4 disciplinary action will be taken according to applicable State law and the terms of
- 5 the applicable collective bargaining agreement(s). When imposing discipline, the
- 6 totality of the circumstances involved in the matter will be considered. In those
- 7 cases where prohibited discrimination/harassment is not substantiated, the Board
- 8 may consider whether the alleged conduct nevertheless warrants discipline in
- 9 accordance with other Board policies, consistent with the terms of the applicable
- 10 collective bargaining agreement(s).
- Where the Board becomes aware that a prior remedial action has been taken against
- 12 a member of the District community, all subsequent sanctions imposed by the
- 13 Board and/or Superintendent shall be reasonably calculated to eliminate such
- 14 conduct in the future.

15 Education and Training

- 16 The Superintendent shall provide appropriate training to members of the District
- 17 community to implement this policy and Policy 3362.02.
- 18 F.S. 110.1221, 760.01, 760.10, 1000.05
- 19 8 U.S.C. 1324b
- 20 42 U.S.C. 2000d et seq.
- 21 42 U.S.C. 2000e et seg.
- 22 29 U.S.C. 621 et seq.
- 23 29 U.S.C. 794
- 24 29 C.F.R. Part 1635
- 25 42 U.S.C. 12101 et seq.
- 26 20 U.S.C. 1681 et seq.
- 27 42 U.S.C. 1983
- 42 U.S.C. 2000ff et seq., The Genetic Information Nondiscrimination Act
- 29 National School Boards Association Inquiry and Analysis May 2008

ANTI-DISCRIMINATION/HARASSMENT

- 2 The School Board shall comply with all Federal laws and regulations prohibiting 3 discrimination and with all requirements and regulations of the U.S. Department of
- 4 Education. The Board shall maintain an educational and work environment which
- 5 is free from all forms of discrimination/harassment, including sexual harassment.
- 6 This commitment applies to all District operations, programs, and activities. All
- students, administrators, teachers, staff, and all other school personnel share 7
- 8 responsibility for avoiding, discouraging, and reporting any form of prohibited
- 9 harassment. This policy applies to prohibited conduct occurring on school property,
- 10 or at another location if such conduct occurs during an activity sponsored by the
- 11 Board.

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- 12 The Board will vigorously enforce its prohibition against discrimination/harassment
- based on sex, race, color, ethnic or national origin, citizenship status, religion, 13
- marital status, disability, genetic information, age, political beliefs, sexual 14
- 15 orientation, gender, gender identification, social and family background, linguistic
- 16 preference, pregnancy, and any other legally prohibited basis. Retaliation against an
- 17 employee, or student for engaging in a protected activity is prohibited.
- 18 The District community as well as third parties who feel aggrieved are encouraged to
- seek assistance to rectify the problems. An employee who has a reasonable and 19
- 20 good-faith belief that s/he has been the subject of discrimination/harassment based
- 21 on the protected categories shall communicate in writing to the Board according to
- 22 the District's anti-discrimination/harassment complaint procedure rule.
- 23
- anonymous complaints shall be accepted or processed. The Board will investigate
- 24 allegations of discrimination/harassment and in those cases where legally
- 25 prohibited discrimination or harassment is substantiated, the Board will take
- 26 immediate steps to end the discrimination/harassment. Individuals who are found
- to have engaged in discrimination/harassment will be subject to appropriate 27
- disciplinary action. 28
- 29 "District community" means students, administrators, teachers, staff, and all other
- 30 school personnel, including Board members, agents, or other persons subject to the
- 31 control and supervision of the Board.

- 1 "Third parties" include, but are not limited to, guests and/or visitors on District
- 2 property (e.g., visiting speakers, participants on opposing athletic teams, parents),
- 3 vendors doing business with, or seeking to do business with, the Board, and other
- 4 individuals who come in contact with members of the District community at school-
- 5 related events/activities (whether on or off District property).

6 Other Violations

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7 The Board will also take immediate steps to discipline individuals for:

- 8 A. retaliating against a person who has made a report or filed a complaint alleging unlawful discrimination/harassment, or who has participated as a witness in a discrimination/harassment investigation;
- B. filing a malicious or knowingly false report or complaint of harassment;
- 14 C. disregarding, failing to investigate adequately, or delaying 15 investigation of allegations of prohibited discrimination/harassment, 16 when responsibility for reporting and/or investigating unlawful 17 harassment charges comprises part of one's supervisory duties.

The Superintendent shall appoint a compliance officer whose responsibility will be to require that Federal and State regulations related to employees are complied with and that any complaints are dealt with promptly in accordance with law. The Board will provide proper notice of nondiscrimination for Title II, Title VI, and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendment Act of 1972, Section 504 of the Rehabilitation Act of 1973, Americans with Disabilities Act of 1990, the anti-discrimination provisions of the Immigration and Nationality Act, and the Age Discrimination in Employment Act, will be provided to staff members and the general public. Any sections of the District's collectively-bargained agreements dealing with hiring, promotion, and tenure will contain a statement of nondiscrimination similar to that in the Board's statement above.

SUPPORT STAFF 4362/page 3 of 5

In accordance with U.S.C. Section 1324b(6) of the Immigration and Nationality Act (INA) and the goal of preventing discrimination on the basis of an individual's national origin or citizenship status, school district personnel are prohibited from (i) requesting employment eligibility verification documents from any applicant for employment prior to making an offer of employment, (ii) discriminating on the basis of citizenship status or national origin during the employment eligibility verification and reverification processes, and (iii) discriminating against individuals on the basis of citizenship status or national origin during the employee recruitment, hiring, and terminating firing processes. Applicants and employees who believe that a violation has occurred have a right to contact and/or file a charge with the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices (currently: http://www.justice.gov/crt/about/osc)(currently: OSC Worker Hotline: 1-800-255-7688[Voice] or 1-800-237-2515 [TTY])

Revised

Definitions

2 Definitions of the protected categories can be found in the CRC webpage at 3 http://crc.dadeschools.net/pro categories.asp.

Reports and Complaints of Discrimination or Harassing Conduct

Individuals with Ccomplaints of regarding employment discrimination based on the basis of citizenship status or unfair documentary practices may be filed with should contact the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices, 1-800-255-7688 or http://www.justice.gov/crt/about/osc within 180 days of the alleged discriminatory act. For information or to file a charge, contact 1 800 255 7688 [Voice], 1-800-237-2515 [TTY] or http://www.justice.gov/crt/about/osc.

Revised

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14 All other Mmembers of the District community and third parties must promptly. 15 report incidents of discriminatory or harassing conduct to their supervisor or the Office of Civil Rights Compliance (CRC) so that the Board may address the conduct 16 17

before it becomes severe, pervasive, or persistent.

Members of the District community or third parties who believe they have been unlawfully harassed by another member of the District community or a third party are entitled to utilize the Board's complaint process in Policy 4362.02. A complaint must be submitted within 300 calendar days of the alleged discriminatory act(s). Individuals should make every effort to present a complaint as soon as possible after the conduct occurs while the facts are known and potential witnesses are available and to eliminate of the conduct in question. Initiating a complaint will not adversely affect the complaining individual's employment or participation in educational or extra-curricular programs unless the complaining individual makes the complaint maliciously or with knowledge that it is false. No anonymous complaints shall be accepted or processed.

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The complaint process in Policy 1362.02 is not intended to interfere with the right to pursue a complaint of prohibited harassment/discrimination with the United States Department of Education, Office of Civil Rights, the Florida Civil Rights Commission, the Equal Employment Opportunity Commission, or the United States Department of Justice, Civil Rights Division, Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Process for Addressing Complaints of Discrimination/Harassment

- 9 The process to provide members of the District community who have a good faith
- belief that they are being discriminated/harassed is in Policy 4362.02. Once the
- 11 complaint process is begun, the investigation will be completed in a timely manner
- 12 (ordinarily, within thirty (30) calendar days of the complaint being received).
- 13 The processes for making a charge of discrimination/harassment, a process for
- 14 investigating claims of discrimination/harassment, and a process for rendering a
- decision regarding whether the claim of prohibited harassment was substantiated
- are in Policy 4362.02. Information about this policy and Policy 4362.02 will be
- 17 readily available to all members of the District community and posted in appropriate
- 18 places throughout the District.

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- Information regarding where and how to file complaints of sexual and other forms of
- discrimination/harassment will be distributed annually through the parent and staff handbooks and published on the District's web site and other locations required by
- 23 law.
- 24 Any teacher, administrator, coach, or other school authority who engages in sexual
- conduct with a student may also be guilty of a crime.

Confidentiality

- 27 The District will make reasonable efforts to maintain the confidentiality of the
- 28 parties involved in an investigation of discrimination/harassment during the course
- 29 of the investigation. Confidentiality, however, cannot be guaranteed. All public
- 30 records created as part of an investigation of a complaint of prohibited
- 31 discrimination/harassment will be maintained by the Compliance Officer in
- 32 accordance with State law and the Board's records retention policy. Records of an
- 33 ongoing investigation shall remain confidential and not subject to disclosure
- pursuant to F.S. Chapter 119 until a final determination is made on the case.
- 35 The complaint process in Policy 4362.02 is not intended to interfere with the rights
- of a member of the School District community or a third party to pursue a complaint of prohibited harassment with the United States Department of Education, Office for
- 38 Civil Rights, the Florida Civil Rights Commission, or the Equal Employment
- 39 Opportunity Commission.

Sanctions and Monitoring

SUPPORT STAFF 4362/page 5 of 5

- 1 The Board shall vigorously enforce its prohibitions against prohibited harassment.
- While observing the principles of due process, a violation of this policy may result in
- 3 disciplinary action up to and including the discharge of an employee. All
- 4 disciplinary action will be taken according to applicable State law and the terms of
- 5 the applicable collective bargaining agreement(s). When imposing discipline, the
- 6 totality of the circumstances involved in the matter will be considered. In those
- 7 cases where prohibited discrimination/harassment is not substantiated, the Board
- 8 may consider whether the alleged conduct nevertheless warrants discipline in
- 9 accordance with other Board policies, consistent with the terms of the collective
- 10 bargaining agreement(s).
- Where the Board becomes aware that a prior remedial action has been taken against
- 12 a member of the District community, all subsequent sanctions imposed by the
- 13 Board and/or Superintendent shall be reasonably calculated to eliminate such
- 14 conduct in the future.

15 Education and Training

- 16 The Superintendent shall provide appropriate training to members of the District
- 17 community to implement this policy and Policy 4362.02.
- 18 F.S. 110.1221, 760.01, 760.10, 1000.05
- 19 8 U.S.C. 1324b
- 20 42 U.S.C. 2000d et seq.
- 21 42 U.S.C. 2000e et seg.
- 22 29 U.S.C. 621 et seq.
- 23 29 U.S.C. 794
- 24 29 C.F.R. Part 1635
- 25 42 U.S.C. 12101 et seq.
- 26 20 U.S.C. 1681 et seq.
- 27 42 U.S.C. 1983
- 42 U.S.C. 2000ff et seq., The Genetic Information Nondiscrimination Act
- 29 National School Boards Association Inquiry and Analysis May 2008

1 ANTI-DISCRIMINATION/HARASSMENT COMPLAINT PROCEDURE

- 2 The individual listed below is the head of the Civil Rights Compliance (CRC) Office,
- 3 (Compliance Officer).
- 4 Civil Rights Compliance Executive Director
- 5 155 N.E. 15th Street, Suite P-104E
- 6 Miami, Florida 33132
- 7 305-995-1580

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- 8 The School Board has established procedures to fulfill the letter and intent of
- 9 anti-discrimination laws. The CRC is responsible for investigating complaints
- 10 and/or charges of discrimination and illegal harassment, including sexual
- 11 harassment and retaliation filed by employees, students and their parents, or
- 12 applicants. The CRC office accepts complaints of prohibited
- 13 discrimination/harassment directly from any member of the District community.
- 14 Upon receipt of a written complaint, the Compliance Officer will designate a specific
- individual within the CRC office to conduct an investigation.
- 16 All members of the District community must report incidents of prohibited
- discrimination/harassment to which they, in good faith, believe they have been
- subjected or which are reported to them, to the CRC office without delay.

Investigation and Complaint Procedure

- 20 Any member of the District community or third party who has a good faith belief
- 21 that s/he has been subjected to prohibited discrimination/harassment may seek
- resolution of his/her complaint through the procedures described below.
- 23 While there is a 300 calendar day time limit for initiating a complaint of
- 24 discrimination/harassment, individuals should make every effort to submit a
- complaint as soon as possible after the prohibited conduct occurred while the facts
- 26 are known and potential witnesses are available, and to ensure the prompt
- 27 elimination of the conduct in question. Failure on the part of the employee to
- 28 initiate and/or follow-up on a complaint in a timely manner may result in the
- 29 complaint being considered abandoned. The procedures below are established to
- 25 complaint being considered abandoned. The procedures below are established to
- 30 provide a prompt and equitable process for resolving complaints of prohibited
- 31 discrimination/harassment.

- 1 These procedures are not intended to interfere with the rights of a member of the
- 2 School District community or a third party to pursue a complaint of legally
- 3 prohibited discrimination/harassment with any State or Federal enforcement
- 4 agency.

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- 5 The initiation of a discrimination or harassment complaint by an employee will not
- 6 be used as a basis for actions that adversely affect the complainant's standing in
- 7 his/her work location. Additionally, participation in or assistance in the
- 8 investigation of a complaint shall not be used as the basis of any adverse
- 9 employment action on an employee.
- 10 Supervising administrators throughout the District are expected to address issues
- 11 and/or conflicts at the worksite. Issues should be resolved by encouraging
- 12 communication with adherence to laws, rules and guidelines. If the issues of
- 13 discrimination/harassment cannot be resolved, it should be referred to the
- 14 Compliance Officer without delay.

Complaint Procedure

- 16 A member of the District community or third party who believes they have been
- 17 subjected to prohibited discrimination/harassment, must report the conduct
- 18 immediately to his/her supervisor or the CRC. If the complainant makes the report
- 19 to CRC, the complainant shall present it in writing. If a complainant informs any
- 20 other employee of the District, either orally or in writing, about any complaint of
- 21 prohibited discrimination/harassment, that employee must immediately refer the
- 22 individual or report such information to the Compliance Officer. No anonymous
- complaints shall be accepted or processed. The complaint must be signed attesting
- 24 that it is true to the best of the complainant's knowledge.
- 25 If it is determined that the complaint alleges prohibited discrimination/harassment,
- 26 the complaint will be investigated.
- 27 Throughout the course of the investigative process, the Compliance Officer should
- 28 keep the complainant informed of the status of the investigation.
- 29 Complaints must include the following information to the extent it is available: the
- 30 identity of the individual believed to have engaged in, or be engaging in, prohibited
- 31 conduct/harassment; a detailed description of the facts upon which the complaint is
- 32 based; a list of potential witnesses; and, identification of the resolution which the
- 33 complainant seeks.
- 34 The Compliance Officer or designee will conduct an oral interview and prepare a
- 35 written summary of the oral interview which will be presented to the complainant for
- 36 verification by signature.

- 1 Upon receiving a written complaint, the Compliance Officer will consider, in
- 2 conjunction with the head of the division or department, whether any action should
- 3 be taken in the investigatory phase to protect the complainant from further legally
- 4 prohibited harassment or retaliation including but not limited to, a change of job
- 5 assignment or a change of class schedule. In making such a determination, the
- 6 Compliance Officers should consult the complainant to assess his/her agreement to
- 7 any action deemed appropriate.

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- 8 Within three (3) business daysworkdays of receiving the written complaint of legally
- 9 prohibited harassment, the Compliance Officer will inform the individual alleged to
- 10 have engaged in the harassing conduct that a complaint has been received.
- 11 Within five (5) business daysworkdays of receiving the complaint, the Compliance
- 12 Officer or a designee will initiate a formal investigation to determine whether the
- complainant has been subject to prohibited discrimination/harassment.
- 14 The Compliance Officer or designee will complete an investigation into the
- 15 allegations of discrimination/harassment within thirty (30) calendar daysworkdays
- of receiving the written complaint. The investigation will include:
- 17 A. interviews with the complainant;
- B. interviews with the respondent;
- 19 C. interviews with any other witnesses who may reasonably be expected to have any information relevant to the allegations;
- D. consideration of any documentation or other evidence presented by the complainant, respondent, or any other witness which is reasonably believed to be relevant to the allegations.

At the conclusion of the investigation, the respondent and the complainant will be provided a written report of the findings summarizing the evidence gathered during the investigation and the final determination of the case. If a finding of Probable Cause occurs, CRC will forward the completed investigative report, including the determination of Probable Cause to the Office of Professional Standards for review, a recommendation for disciplinary action, and final disposition of the case. All disciplinary action will be taken according to State law and the terms of the applicable collective bargaining agreement(s). The Board will act upon the recommended disciplinary determination at its regular meeting.

The complainant may request review of the CRC determination by the Superintendent. The request must be submitted in writing within fifteen (15) workdays and state with particularity the reasons for the request, which may include additional witnesses, evidence or information that if obtained, would likely change the outcome of the investigation.

1 Confidentiality

- 2 The District will make all reasonable efforts to protect the rights of the complainant
- 3 and the respondent. The District will respect the privacy of the complainant (unless
- 4 the complainant made the complaint with malice or with knowledge that it was
- 5 false), the respondent, and all witnesses in a manner consistent with the District's
- 6 legal obligations under State and Federal law. Confidentiality cannot be guaranteed
- 7 however. All parties proceeding through the investigation process should be advised
- 8 that at the conclusion of the investigation, their identities and the investigation
- 9 become subject to disclosure under F.S. 119.
- 10 During the course of the investigation, the Compliance Officer or his/her designee
- 11 will instruct all members of the District community and third parties who are
- 12 interviewed about the importance of maintaining confidentiality. Any individual who
- 13 is interviewed as part of an investigation of prohibited discrimination/harassment is
- 14 expected not to disclose any information that s/he learns or that s/he provides
- during the course of the investigation.
- 16 All public records created as part of an investigation of prohibited
- discrimination/harassment will be maintained by the Compliance Officer according
- 18 to State law and the Board's records retention policy. Records of an ongoing
- 19 investigation shall remain confidential and not subject to disclosure pursuant to
- 20 F.S. Chapter 119, until a final determination is made on the case. Any records
- 21 which are considered education records under the Family Educational Rights and
- 22 Privacy Act will be maintained in a manner consistent with the provisions of Federal
- and State law.

24 Child Abuse/Sexual Misconduct

- 25 All employees aware of suspected child abuse or neglect must immediately report
- 26 the abuse to the Department of Children and Family Services abuse hotline, the
- 27 School Police, and the school site administrator. If, during the course of an
- 28 investigation of prohibited discrimination/harassment, the Compliance Officers or
- 29 designee has reason to believe or suspect that the alleged conduct indicates abuse
- 30 or neglect of the complainant, a report of such knowledge must be made in
- 31 accordance with State law and Policy 8462.
- 32 If the Compliance Officer or a designee has reason to believe that the complainant
- 33 has been the victim of criminal conduct, such knowledge should be reported to local
- 34 law enforcement.

ADMINISTRATION 1362.02/page 5 of 5

- 1 Any reports made to the local child protection service or to local law enforcement
- 2 shall not terminate the Compliance Officer's or designee's obligation and
- 3 responsibility to continue to investigate a complaint of prohibited
- 4 discrimination/harassment.

5 Mandatory Reporting of Misconduct by Certificated Employees

- 6 The Superintendent is required by State law and Policy 8141 to report alleged
- 7 misconduct by certificated employees of the District that affects the health, safety,
- 8 and welfare of a student.
- 9 F.S. 110.1221, 760.01, 760.10, 1000.05, 1006.07
- 10 20 U.S.C. 1681 et seq.
- 11 29 U.S.C. 621 et seq.
- 12 29 U.S.C. 794
- 13 29 C.F.R. Part 1635
- 14 42 U.S.C. 12101 et seq.
- 15 42 U.S.C. 2000d et seq.
- 16 42 U.S.C. 2000e et seq.
- 17 42 U.S.C. 1983
- 42 U.S.C. 2000ff et seq., The Genetic Information Nondiscrimination Act
- 19 National School Boards Association Inquiry and Analysis May 2008
- 20 Technical Change 7/13/15
- 21 © **NEOLA 2010**

1 ANTI-DISCRIMINATION/HARASSMENT COMPLAINT PROCEDURE

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- 4 Civil Rights Compliance Executive Director
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- 7 305-995-1580
- 8 The Board has established procedures to fulfill the letter and intent of anti-
- 9 discrimination laws. The CRC is responsible for investigating complaints and/or
- 10 charges of discrimination and illegal harassment, including sexual harassment and
- 11 retaliation filed by employees, students and their parents, or applicants. The CRC
- 12 office accepts complaints of prohibited discrimination/harassment directly from any
- 13 member of the District community. Upon receipt of a written complaint, the
- Compliance Officer will designate a specific individual within the CRC office to 14
- 15 conduct an investigation.
- 16 All members of the District community must report incidents of prohibited
- 17 discrimination/harassment to which they, in good faith, believe they have been
- 18 subjected or which are reported to them, to the CRC office without delay.

19 **Investigation and Complaint Procedure**

- 20 Any member of the District community or third party who has a good faith belief
- 21 that s/he has been subjected to prohibited discrimination/harassment may seek
- 22 resolution of his/her complaint through the procedures described below.
- 23 While there is a 300 calendar day time limit for initiating a complaint of
- 24 discrimination/harassment, individuals should make every effort to submit a
- 25 complaint as soon as possible after the prohibited conduct occurred while the facts
- are known and potential witnesses are available, and to ensure the prompt 26
- 27 elimination of the conduct in question. Failure on the part of the employee to
- 28 initiate and/or follow-up on a complaint in a timely manner may result in the
- 29 complaint being considered abandoned. The procedures below are established to
- 30 provide a prompt and equitable process for resolving complaints of prohibited
- 31 discrimination/harassment.

INSTRUCTIONAL STAFF 3362.02/page 2 of 5

- 1 These procedures are not intended to interfere with the rights of a member of the
- 2 School District community or a third party to pursue a complaint of legally
- 3 prohibited discrimination/harassment with any State or Federal enforcement
- 4 agency.

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- 5 The initiation of a discrimination or harassment complaint by an employee will not
- 6 be used as a basis for actions that adversely affect the complainant's standing in
- 7 his/her work location. Additionally, participation in or assistance in the
- 8 investigation of a complaint shall not be used as the basis of any adverse
- 9 employment action on an employee.
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- 17 subjected to prohibited discrimination/harassment, must report the conduct
- 18 immediately to his/her supervisor or the CRC. If the complainant makes the report
- 19 to CRC, the complainant shall present it in writing. If a complainant informs any
- 20 other employee of the District, either orally or in writing, about any complaint of
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- 22 individual or report such information to the Compliance Officer. No anonymous
- complaints shall be accepted or processed. The complaint must be signed attesting
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- 25 If it is determined that the complaint alleges prohibited discrimination/harassment,
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- 27 Throughout the course of the investigative process, the Compliance Officer should
- 28 keep the complainant informed of the status of the investigation.
- 29 Complaints must include the following information to the extent it is available: the
- 30 identity of the individual believed to have engaged in, or be engaging in, prohibited
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- 32 based; a list of potential witnesses; and, identification of the resolution which the
- 33 complainant seeks.
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- 35 written summary of the oral interview which will be presented to the complainant for
- 36 verification by signature.

- 1 Upon receiving a written complaint, the Compliance Officer will consider, in
- 2 conjunction with the head of the division or department, whether any action should
- 3 be taken in the investigatory phase to protect the complainant from further legally
- 4 prohibited harassment or retaliation including but not limited to, a change of job
- 5 assignment or a change of class schedule. In making such a determination, the
- 6 Compliance Officers should consult the complainant to assess his/her agreement to
- 7 any action deemed appropriate.

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- 8 Within three (3) business daysworkdays of receiving the written complaint of legally
- 9 prohibited harassment, the Compliance Officer will inform the individual alleged to
- 10 have engaged in the harassing conduct that a complaint has been received.
- 11 Within five (5) business daysworkdays of receiving the complaint, the Compliance
- 12 Officer or a designee will initiate a formal investigation to determine whether the
- complainant has been subject to prohibited discrimination/harassment.
- 14 The Compliance Officer or designee will complete an investigation into the
- 15 | allegations of discrimination/harassment within thirty (30) calendar daysworkdays
- of receiving the written complaint. The investigation will include:
- 17 A. interviews with the complainant;
- B. interviews with the respondent;
- 19 C. interviews with any other witnesses who may reasonably be expected to have any information relevant to the allegations;
- D. consideration of any documentation or other evidence presented by the complainant, respondent, or any other witness which is reasonably believed to be relevant to the allegations.

At the conclusion of the investigation, the respondent and the complainant will be provided a written report of the findings summarizing the evidence gathered during the investigation and the final determination of the case. If a finding of Probable Cause occurs, CRC will forward the completed investigative report, including the determination of Probable Cause to the Office of Professional Standards for review, a recommendation for disciplinary action, and final disposition of the case. All disciplinary action will be taken according to State law and the terms of the applicable collective bargaining agreement(s). The Board will act upon the recommended disciplinary determination at its regular meeting.

The complainant may request a review of the CRC determination by the Superintendent. The request must be submitted in writing within fifteen (15) workdays and state with particularity the reasons for the request, which may include additional witnesses, evidence or information that if obtained, would likely change the outcome of the investigation.

INSTRUCTIONAL STAFF 3362.02/page 4 of 5

Confidentiality

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- 2 The District will make all reasonable efforts to protect the rights of the complainant
- 3 and the respondent. The District will respect the privacy of the complainant (unless
- 4 the complainant made the complaint with malice or with knowledge that it was
- 5 false), the respondent, and all witnesses in a manner consistent with the District's
- 6 legal obligations under State and Federal law. Confidentiality cannot be guaranteed
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- 16 All public records created as part of an investigation of prohibited
- 17 discrimination/harassment will be maintained by the Compliance Officer according
- 18 to State law and the Board's records retention policy. Records of an ongoing
- 19 investigation shall remain confidential and not subject to disclosure pursuant to
- 20 F.S. Chapter 119 until a final determination is made on the case. Any records
- 21 which are considered education records under the Family Educational Rights and
- 22 Privacy Act will be maintained in a manner consistent with the provisions of Federal
- and State law.

24 Child Abuse/Sexual Misconduct

- 25 All employees aware of suspected child abuse or neglect must immediately report
- 26 the abuse to the Department of Children and Family Services abuse hotline, the
- 27 School Police, and the school site administrator. If, during the course of an
- 28 investigation of prohibited discrimination/harassment, the Compliance Officers or
- 29 designee has reason to believe or suspect that the alleged conduct indicates abuse
- 30 or neglect of the complainant, a report of such knowledge must be made in
- 31 accordance with State law and Policy 8462.
- 32 If the Compliance Officer or a designee has reason to believe that the complainant
- 33 has been the victim of criminal conduct, such knowledge should be reported to local
- 34 law enforcement.

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- 1 Any reports made to the local child protection service or to local law enforcement
- 2 shall not terminate the Compliance Officer's or a designee's obligation and
- 3 responsibility to continue to investigate a complaint of prohibited
- 4 discrimination/harassment.

5 Mandatory Reporting of Misconduct by Certificated Employees

- 6 The Superintendent is required by State law and Policy 8141 to report alleged
- 7 misconduct by certificated employees of the District that affects the health, safety,
- 8 and welfare of a student.
- 9 F.S. 110.1221, 760.01, 760.10, 1000.05, 1006.07
- 10 20 U.S.C. 1681 et seq.
- 11 29 U.S.C. 621 et seq.
- 12 29 U.S.C. 794
- 13 29 C.F.R. Part 1635
- 14 42 U.S.C. 12101 et seq.
- 15 42 U.S.C. 2000d et seq.
- 16 42 U.S.C. 2000e et seq.
- 17 42 U.S.C. 1983
- 42 U.S.C. 2000ff et seq., The Genetic Information Nondiscrimination Act
- 19 National School Boards Association Inquiry and Analysis May 2008
- 20 Technical Change 7/13/15
- 21 © **NEOLA 2010**

1 ANTI-DISCRIMINATION/HARASSMENT COMPLAINT PROCEDURE

- 2 The individual listed below is the head of the Civil Rights Compliance (CRC) Office,
- 3 ("Compliance Officer").
- 4 Civil Rights Compliance Executive Director
- 5 155 N.E. 15th Street, Suite P-104E
- 6 Miami Florida 33132
- 7 305-995-1580

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- 8 The Board has established procedures to fulfill the letter and intent of anti-
- 9 discrimination laws. The Office of Civil Rights Compliance (CRC) is responsible for
- 10 investigating complaints and/or charges of discrimination and illegal harassment,
- including sexual harassment and retaliation filed by employees, students and their
- 12 parents, or applicants. The CRC office accepts complaints of prohibited
- discrimination/harassment directly from any member of the District community.
- 14 Upon receipt of a written complaint, the Compliance Officer will designate a specific
- individual within the CRC office to conduct an investigation.
- 16 All members of the District community must report incidents of prohibited
- 17 discrimination/harassment to which they, in good faith, believe they have been
- 18 subjected or which are reported to them, to the CRC office without delay.

Investigation and Complaint Procedure

- 20 Any member of the District community or third party who has a good faith belief
- 21 that s/he has been subjected to prohibited discrimination/harassment may seek
- 22 resolution of his/her complaint through the procedures described below.
- 23 While there is a 300 calendar day time limit for initiating a complaint of
- 24 discrimination/harassment, individuals should make every effort to submit a
- 25 complaint as soon as possible after the prohibited conduct occurred while the facts
- 26 are known and potential witnesses are available, and to ensure the prompt
- 27 elimination of the conduct in question. Failure on the part of the employee to
- 28 initiate and/or follow-up on a complaint in a timely manner may result in the
- 29 complaint being considered abandoned. The procedures below are established to
- 29 complaint being considered abandoned. The procedure below are established to
- 30 provide a prompt and equitable process for resolving complaints of prohibited
- 31 discrimination/harassment.

- 1 These procedures are not intended to interfere with the rights of a member of the
- 2 District community or a third party to pursue a complaint of legally prohibited
- 3 discrimination/harassment with any State or Federal enforcement agency.
- 4 The initiation of a discrimination or harassment complaint by an employee will not
- 5 be used as a basis for actions that adversely affect the complainant's standing in
- 6 his/her work location. Additionally, participation in or assistance in the
- 7 investigation of a complaint shall not be used as the basis of any adverse
- 8 employment action on an employee.
- 9 Supervising administrators throughout the District are expected to address issues
- 10 and/or conflicts at the worksite. Issues should be resolved by encouraging
- 11 communication with adherence to laws, rules and guidelines. If the issues of
- 12 discrimination/harassment cannot be resolved, it should be referred to the
- 13 Compliance Officer without delay.

14 Complaint Procedure

- 15 A member of the District community or third party who believes they have been
- 16 subjected to prohibited discrimination/harassment, must report the conduct
- immediately to his/her supervisor or the CRC. If the complainant makes the report
- 18 to CRC, the complainant shall present it in writing. If a complainant informs any
- other employee of the District, either orally or in writing, about any complaint of
- 20 prohibited discrimination/harassment, that employee must immediately refer the
- 21 individual or report such information to the Compliance Officer. No anonymous
- 22 complaints shall be accepted or processed. The complaint must be signed attesting
- that it is true to the best of the complainant's knowledge.
- 24 If it is determined that the complaint alleges prohibited discrimination/harassment,
- 25 the complaint will be investigated.
- 26 Throughout the course of the investigative process, the Compliance Officer should
- keep the complainant informed of the status of the investigation.
- 28 Complaints must include the following information to the extent it is available: the
- 29 identity of the individual believed to have engaged in, or be engaging in, prohibited
- 30 conduct/harassment; a detailed description of the facts upon which the complaint is
- 31 based; a list of potential witnesses; and, identification of the resolution which the
- 32 complainant seeks.
- 33 The Compliance Officer or designee will conduct an oral interview and prepare a
- 34 written summary of the oral interview which will be presented to the complainant for
- 35 verification by signature.

- 1 Upon receiving a written complaint, the Compliance Officer will consider, in
- 2 conjunction with the head of the division or department, whether any action should
- 3 be taken in the investigatory phase to protect the complainant from further legally
- 4 prohibited harassment or retaliation including but not limited to, a change of job
- 5 assignment or a change of class schedule. In making such a determination, the
- 6 Compliance Officers should consult the complainant to assess his/her agreement to
- 7 any action deemed appropriate.
- 8 Within three (3) business daysworkdays of receiving the written complaint of legally
- 9 prohibited harassment, the Compliance Officer will inform the individual alleged to
- 10 have engaged in the harassing conduct that a complaint has been received.
- 11 Within five (5) business daysworkdays of receiving the complaint, the Compliance
- 12 Officer or a designee will initiate a formal investigation to determine whether the
- complainant has been subject to prohibited discrimination/harassment.
- 14 The Compliance Officer or designee will complete an investigation into the
- 15 | allegations of discrimination/harassment within thirty (30) calendar daysworkdays
- of receiving the written complaint. The investigation will include:
- 17 A. interviews with the complainant;
- B. interviews with the respondent;

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- 19 C. interviews with any other witnesses who may reasonably be expected to have any information relevant to the allegations;
- D. consideration of any documentation or other evidence presented by the complainant, respondent, or any other witness which is reasonably believed to be relevant to the allegations.

At the conclusion of the investigation, the respondent and the complainant will be provided a written report of the findings summarizing the evidence gathered during the investigation and the final determination of the case. If a finding of Probable Cause occurs, CRC will forward the completed investigative report, including the determination of Probable Cause to the Office of Professional Standards for review, a recommendation for disciplinary action, and final disposition of the case. All disciplinary action will be taken according to State law and the terms of the applicable collective bargaining agreement(s). The Board will act upon the recommended disciplinary determination at its regular meeting.

The complainant may request review of the CRC determination by the Superintendent. The request must be submitted in writing within fifteen (15) workdays and state with particularity the reasons for the request, which may include additional witnesses, evidence or information to be sought that if obtained, would likely change the outcome of the investigation.

1 Confidentiality

- 2 The District will make all reasonable efforts to protect the rights of the complainant
- 3 and the respondent. The District will respect the privacy of the complainant (unless
- 4 the complainant made the complaint with malice or with knowledge that it was
- 5 false), the respondent, and all witnesses in a manner consistent with the District's
- 6 legal obligations under State and Federal law. Confidentiality cannot be guaranteed
- 7 however. All parties proceeding through the investigation process should be advised
- 8 that at the conclusion of the investigation, their identities and the investigation
- 9 become subject to disclosure under F.S. 119.
- 10 During the course of the investigation, the Compliance Officer or his/her designee
- 11 will instruct all members of the District community and third parties who are
- 12 interviewed about the importance of maintaining confidentiality. Any individual who
- 13 is interviewed as part of an investigation of prohibited discrimination/harassment is
- 14 expected not to disclose any information that s/he learns or that s/he provides
- during the course of the investigation.
- 16 All public records created as part of an investigation of prohibited
- 17 discrimination/harassment will be maintained by the Compliance Officer according
- 18 to State law and the Board's records retention policy. Records of an ongoing
- 19 investigation shall remain confidential and not subject to disclosure pursuant to
- 20 F.S. Chapter 119 until a final determination is made on the case. Any records
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